

# Mass formulas for self-dual codes

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(joint work with Rowena A. L. Betty)

# Self-dual, self-orthogonal codes

- $R$  : finite commutative ring
- $n$  : positive integer
- $(x, y) = \sum_{i=1}^n x_i y_i$ , for  $x, y \in R^n$
- $C$  :  $R$ -submodule of  $R^n$
- $C^\perp = \{x \in R^n \mid (x, y) = 0 \text{ for all } y \in C\}$
- $C$  : **self-dual** if  $C = C^\perp$
- $C$  : **self-orthogonal** if  $C \subset C^\perp$

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# Mass formulas

The number of self-dual codes of length  $n$

- over  $\mathbb{F}_p$  (the number of maximal totally isotropic subspaces, the index of a maximal parabolic subgroup in a finite classical group) is known for years.
- over  $\mathbb{Z}_4$ : was given by Gaborit (1996).

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# More mass formulas

	$\mathbb{Z}_{p^2}$	$\mathbb{Z}_{p^3}$	$\mathbb{Z}_{p^m}$
s.d.	BBN	NNW	?
s.o.	BM	?	?

	$\mathbb{Z}_4$	$\mathbb{Z}_8$	$\mathbb{Z}_{2^m}$
s.d.	G	NNW	?
s.o.	BM	?	?
even s.d.	G	?	?
even s.o.	BM*	?	?

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BBN Balmaceda–Betty–Nemenzo, 2008

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# Mass formula for even self-dual codes over $\mathbb{Z}_8$

In particular, we want to verify our numerical result (with M. Harada) on

the number of 8-frames in the  $E_8$ -lattice

$$= 45,102,825 \quad (\text{by computer})$$

$$= \frac{|\text{Aut}(E_8)|}{2^8 \cdot 8!} \cdot \# \text{ even self-dual codes of length 8 over } \mathbb{Z}_8$$

$$\theta_{E_8} = 1 + 240q + 2160q^2 + 6720q^3 + 17520q^4 + \dots$$

The number of (not necessarily even) self-dual codes over  $\mathbb{Z}_8$  is due to Nagata–Nemenzo–Wada.

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# Technique: from $\mathbb{F}_p$ -codes to $\mathbb{Z}_{p^2}$ -codes

- $C_1$ : self-orthogonal code over  $\mathbb{F}_p$ .
- want to count #self-orthogonal codes  $C$  over  $\mathbb{Z}_{p^2}$  such that  $C \bmod p = C_1$  (residue of  $C$ ).

If  $C_1$  has generator matrix  $A$ , then  $C$  has generator matrix

$$\begin{bmatrix} A + pN \\ pB \end{bmatrix}$$

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# Free codes

- $C_1$ : self-orthogonal code over  $\mathbb{F}_p$  with generator matrix  $\begin{bmatrix} I & A \end{bmatrix}$ .
- want to count # free self-orthogonal codes  $C$  over  $\mathbb{Z}_{p^2}$  such that  $C \bmod p = C_1$ .

$C$  : free

$$\iff C \cong \mathbb{Z}_{p^2}^k, \text{ where } k = \dim C_1$$

$$\iff C \text{ has generator matrix } \begin{bmatrix} I + pN_1 & A + pN_2 \end{bmatrix}$$

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$N$  is uniquely determined by  $C$ .

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# Free codes with given residue

$$C_1 : [I \ A] / \mathbb{F}_p, \quad I + AA^T \equiv 0 \pmod{p}, \quad C : [I \ A + pN] / \mathbb{Z}_{p^2}.$$

- $C$  is self-orthogonal  $\iff$   
 $I + AA^T + p(AN^T + NA^T) \equiv 0 \pmod{p^2}.$

So

$$\#C = \#N \text{ s.t. } AN^T + NA^T \equiv -\frac{1}{p}(I + AA^T) \pmod{p}.$$

In general,

$$\#\{N \mid AN^T + NA^T \equiv \text{given} \pmod{p}\} = ?$$

Note  $\text{rank}_p A = k$ , since  $I + AA^T \equiv 0 \pmod{p}.$

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# Mapping on matrices

$$\#N \text{ s.t. } AN^T + NA^T \equiv -\frac{1}{p}(I + AA^T) \pmod{p}$$

$$\begin{aligned} \Psi : M_{k \times m}(\mathbb{F}_p) &\rightarrow \text{Sym}_k(\mathbb{F}_p) \\ N &\mapsto AN^T + NA^T \end{aligned}$$

where  $A \in M_{k \times m}(\mathbb{F}_p)$ ,  $\text{rank } A = k$ .

## Lemma

$p$ : odd prime  $\implies \Psi$ : surjective.

$$\begin{aligned} &\#\{N \mid AN^T + NA^T = \text{given}\} \\ &= \#\Psi^{-1}(\text{given}) = \#\text{Ker } \Psi \\ &= p^{\dim M_{k \times m}(\mathbb{F}_p) - \dim \text{Sym}_k(\mathbb{F}_p)} = p^{km - k(k+1)/2}. \end{aligned}$$

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$$p = 2,$$

$$\Psi : N \mapsto AN^T + NA^T \in \text{Sym}_k(\mathbb{F}_p)$$

$$\begin{aligned} \Psi : M_{k \times m}(\mathbb{F}_2) &\rightarrow \text{Alt}_k(\mathbb{F}_2) \\ N &\mapsto AN^T + NA^T \end{aligned}$$

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# Even codes over $\mathbb{Z}_4$

$$C_1 : [I \ A] / \mathbb{F}_2, \quad C : [I \ A + 2N] / \mathbb{Z}_4.$$

## Problem

- When is  $C$  even (i.e., Euclidean norm (weight)  $\equiv 0 \pmod{8}$ )?
- Count  $\#N$  for which  $C$  is even.

In addition to  $C$  being self-orthogonal, i.e.,

$$I + AA^T + 2(AN^T + NA^T) \equiv 0 \pmod{4},$$

we need:

$$\text{Diag}(I + AA^T + 2(AN^T + NA^T) + 4NN^T) \equiv 0 \pmod{8}.$$



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- When is  $C$  even (i.e., Euclidean norm (weight)  $\equiv 0 \pmod{8}$ )?
- Count  $\#N$  for which  $C$  is even.

In addition to  $C$  being self-orthogonal, i.e.,

$$I + AA^T + 2(AN^T + NA^T) \equiv 0 \pmod{4},$$

we need:

$$\text{Diag}(I + AA^T + 2(AN^T + NA^T) + 4NN^T) \equiv 0 \pmod{8}.$$

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## Lemma

$\Psi$ : surjective if  $\mathbf{1} \notin C_1 = \text{span} [I \ A]$ .

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# More mass formulas

	$\mathbb{Z}_{p^2}$	$\mathbb{Z}_{p^3}$	$\mathbb{Z}_{p^m}$
s.d.	BBN	NNW	?
s.o.	BM	?	?

	$\mathbb{Z}_4$	$\mathbb{Z}_8$	$\mathbb{Z}_{2^m}$
s.d.	G	NNW	?
s.o.	BM	?	?
even s.d.	G	?	?
even s.o.	BM*	?	?

G Gaborit, 1996

BBN Balmaceda–Betty–Nemenzo, to appear

BM Betty–Munemasa, submitted

NNW Nagata–Nemenzo–Wada, preprint

\*  $1 \in C_1$ ,  $n \equiv 0 \pmod{8}$ .