

# Frames of the Leech lattice and their applications

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# The Leech lattice

A  $\mathbb{Z}$ -submodule  $L$  of rank 24 in  $\mathbb{R}^{24}$  with basis  $B$  characterized by the following properties of  $G = BB^T$  (Gram matrix):

- $\det G = 1$ ,
- $G_{ij} \in \mathbb{Z}$ ,
- $G_{ii} \in 2\mathbb{Z}$
- rootless:  $\forall x \in L, \|x\|^2 \neq 2$ .

unique up to isometry in  $\mathbb{R}^{24}$ .

cf.  $E_8$ -lattice is a unique even unimodular lattice of rank 8.

# Factorization of the polynomial $X^{23} - 1$

$$(X - 1)(X^{22} + X^{21} + \cdots + X + 1) \quad \text{over } \mathbb{Z}$$

$$\begin{aligned} &= (X - 1)(X^{11} + X^{10} + \cdots + 1) \\ &\quad \times (X^{11} + X^9 + \cdots + 1) \quad \text{over } \mathbb{F}_2 \end{aligned}$$

$$\begin{aligned} &= (X - 1)(X^{11} - X^{10} + \cdots - 1) \\ &\quad \times (X^{11} + 2X^{10} - X^9 + \cdots - 1) \quad \text{over } \mathbb{Z}/4\mathbb{Z} \end{aligned}$$

(by Hensel's lemma).

$$X^{23} - 1 = (X - 1)f(X)g(X) \text{ over } \mathbb{Z}/4\mathbb{Z}$$

$L$  is generated by the rows of:

$$\left[ \begin{array}{c} \frac{1}{2} \boxed{\frac{1}{2}f(X)} \\ \frac{1}{2} \boxed{\frac{1}{2}f(X)} \\ \frac{1}{2} \boxed{\frac{1}{2}f(X)} \\ \vdots \\ \hline 2I_{24} \end{array} \right]$$

(23 + 24) × 24 matrix  
Bonnecaze-Calderbank-Solé (1995)

cf.  $\bar{f}(X) = f(X) \pmod{2}$ . Golay code is

$$\text{row sp. of } \left[ \begin{array}{c} 1 \boxed{\bar{f}(X)} \\ 1 \boxed{\bar{f}(X)} \\ 1 \boxed{\bar{f}(X)} \\ \vdots \\ \dots \end{array} \right]$$

over  $\mathbb{F}_2$   
(mod 2 reduction  
of  $L$ ).

## $L =$ Leech lattice

$$\min L = \min\{\|x\|^2 \mid 0 \neq x \in L\} = 4 \quad (\text{rootless}).$$

A **frame** of  $L$  is  $\{\pm f_1, \pm f_2, \dots, \pm f_{24}\}$  with  $(f_i, f_j) = 4\delta_{ij}$ .

$$\left[ \begin{array}{c} \frac{1}{2} \boxed{\frac{1}{2}f(X)} \\ \frac{1}{2} \boxed{\frac{1}{2}f(X)} \\ \frac{1}{2} \boxed{\frac{1}{2}f(X)} \\ \vdots \\ \hline 2I_{24} \end{array} \right] \leftarrow \text{here}$$

$$\#\{x \in L \mid \|x\|^2 = 4\} = 196560$$

cf.  $E_8$  has 240 roots and a unique frame (of norm 2) up to  $W(E_8)$ .

# Contents

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# History

- E. **Mathieu** (1861, 1873): Mathieu groups
- E. Witt (1938):  $(\text{Aut}(\text{Steiner system } S(5, 8, 24))) = M_{24}$
- M.J.E. **Golay** (1949):  $(\text{Aut}(\text{Golay code})) = M_{24}$
- J. **Leech** (1965): lattice  $L$
- J.H. **Conway** (1968):  $\text{Aut}(L) = Co_0$
- E. Bannai and N.J.A. Sloane (1981): 196560 vectors
- B. Fischer, R. Griess (1982): The Monster  $\mathbb{M}$
- I. Frenkel, J. Lepowsky and A. Meurman (1988):  
 $\text{Aut}(V^{\natural}) = \mathbb{M}$ .

Total of 26 sporadic finite simple groups.

The most remarkable of all  $\mathbb{M}$ : moonshine

$1 + 196883 = 196884 \rightarrow V^{\natural}$  (vertex operator algebra).

**Ultimate Goal:** Want to understand  $V^{\natural}$  or  $\mathbb{M}$  better.

# Quadratic Forms

$L$  = even unimodular, rank 24, without roots

$B$ : basis of  $L$

→  $G = BB^T$ : Gram matrix

→  $Q(x) = x^T G x$  is a pos. def. quadratic form  $\mathbb{Z}^{24} \rightarrow 2\mathbb{Z}$ .

→  $L$ .

Golay code is also related to a quadratic form in a different sense.

Example. For  $x, y, z \in \mathbb{F}_2$ ,

$$x^2 + y^2 + z^2 + xy + yz + zx = \frac{1}{2} \text{wt}((x, y, z, x + y + z)) \pmod{2},$$

where  $\text{wt}((x_1, \dots, x_n)) = \#i$  with  $x_i = 1$ .

## A quadratic form over $\mathbb{F}_2$

More generally,

$$\mathbb{F}_2^n \supset \mathbf{E}_n = \{u \in \mathbb{F}_2^n \mid \text{wt}(u) \text{ even}\} : \dim = n - 1.$$

$$Q : \mathbf{E}_n \rightarrow \mathbb{F}_2, \quad Q(u) = \frac{\text{wt}(u)}{2} \pmod{2}$$

Then

$$Q(u + v) = Q(u) + Q(v) + \sum_{i=1}^n u_i v_i.$$

Witt's theorem  $\implies \exists!$  maximal subspace  $U$  of  $\mathbf{E}_n$  such that  $Q|_U = 0$ , up to the action of  $O(\mathbf{E}_n, Q)$ .

Note, however, that  $O(\mathbf{E}_n, Q)$  does not preserve  $\text{wt}(u)$ .

The subgroup  $S_n$  preserves  $\text{wt}(u)$ .

$$Q(u) = \frac{\text{wt}(u)}{2} \pmod{2}$$

A linear subspace of  $\mathbb{F}_2^n$  is called a (binary) **code** of **length**  $n$ .

A code  $C \subset \mathbb{F}_2^n$  is said to be **doubly even** if  $Q|_C = 0$ , i.e.,  $4 \mid \text{wt}(u) \forall u \in C$ .

$$\min C = \{\text{wt}(u) \mid 0 \neq u \in C\}.$$

$$C^\perp = \{u \in \mathbb{F}_2^n \mid (u, v) = 0 \forall v \in C\}.$$

Witt's theorem  $\implies \exists!$  maximal doubly even code of length  $n$  up to the action of  $O(\mathbb{F}_2^n, Q)$ .

$S_n \subset O(\mathbb{F}_2^n, Q)$  acts on the set of maximal doubly even code of length  $n$ . **Equivalence** of codes is defined by the action of  $S_n$ .

$n = 24$ : there are 9 maximal doubly even codes up to  $S_{24}$ ,  
Golay code is one of them.

# Quadratic $\leftrightarrow$ doubly even

## Cubic $\leftrightarrow$ triply even

- $\mathbb{F}_2^n \rightarrow \mathbb{F}_2$ ,  $u \mapsto \text{wt}(u) \bmod 2$ : linear
- $\mathbf{E}_n \rightarrow \mathbb{F}_2$ ,  $Q : u \mapsto \frac{\text{wt}(u)}{2} \bmod 2$ : quadratic
- for a doubly even code  $C$ ,  $C \rightarrow \mathbb{F}_2$ ,  $T : u \mapsto \frac{\text{wt}(u)}{4} \bmod 2$ :  
cubic

There is no analogue of Witt's theorem for cubic forms  $\implies$   
it is nontrivial to classify maximal codes  $C$  with  $T|_C = 0$ , i.e.,

$$\forall u \in C, 8 \mid \text{wt}(u).$$

Call such  $C$  **triply even**.

$$\text{Aut } V^{\natural} = \mathbb{M}$$

$C$  is triply even iff  $\forall u \in C, 8 \mid \text{wt}(u)$

A triply even code appeared in the construction of  $V^{\natural}$  due to Dong–Griess–Höhn (1998), Miyamoto (2004).

Leech lattice  $\rightsquigarrow D_7 \subset \mathbb{F}_2^{48} \rightsquigarrow V^{\natural}$ .

$$H = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 \end{bmatrix} \quad H_3 = \begin{bmatrix} H & H & H \\ \mathbf{1}_8 & 0 & 0 \\ 0 & \mathbf{1}_8 & 0 \\ 0 & 0 & \mathbf{1}_8 \end{bmatrix}$$

$$D_7 = \mathbb{F}_2\text{-span of } \begin{bmatrix} H_3 & H_3 \\ \mathbf{1}_{24} & 0 \\ 0 & \mathbf{1}_{24} \end{bmatrix} : \text{triply even}$$

where  $\mathbf{1}_n = (1, 1, \dots, 1) \in \mathbb{F}_2^n$ .

$\mathbb{F}_2$ -span of

$$H_3 = \begin{bmatrix} H & H & H \\ \mathbf{1}_8 & 0 & 0 \\ 0 & \mathbf{1}_8 & 0 \\ 0 & 0 & \mathbf{1}_8 \end{bmatrix}$$

is doubly even  $\implies \mathbb{F}_2$ -span of

$$D_7 = \mathcal{D}(H_3) = \begin{bmatrix} H_3 & H_3 \\ \mathbf{1}_{24} & 0 \\ 0 & \mathbf{1}_{24} \end{bmatrix}$$

is triply even.

More generally, if  $A$  spans a doubly even code  $C$  of length  $n \equiv 0 \pmod{8}$ , then

$$\mathcal{D}(C) = \mathbb{F}_2\text{-span of } \begin{bmatrix} A & A \\ \mathbf{1}_n & 0 \\ 0 & \mathbf{1}_n \end{bmatrix}$$

is a triply even code of length  $2n$ .  $\mathcal{D} = \text{doubling}$ .

# Framed Vertex Operator Algebra

Dong–Griess–Höhn (1998), Miyamoto (2004):

$$L \rightsquigarrow D_7 \subset \mathbb{F}_2^{48} \rightsquigarrow V^\natural.$$

$$V^\natural \supset L(1/2, 0)^{\otimes 48}, \text{ where } L(1/2, 0) : \text{Virasoro VOA}$$

$$L \supset F = \bigoplus_{i=1}^{24} \mathbb{Z}f_i, \text{ where } (f_i, f_j) = 4\delta_{ij} : \text{frame}$$

$$L = \bigcup_{x \in L/F} (x + F) \quad \text{coset decomposition}$$

$$V^\natural = \bigoplus_{\beta \in D} V^\beta \quad \text{as } L(1/2, 0)^{\otimes 48}\text{-modules}$$

$F \subset L$ : not unique.

$L(1/2, 0)^{\otimes 48} \cong \mathcal{T} \subset V^\natural$ : not unique  $\implies D$ : depends on  $\mathcal{T}$   
(Virasoro frame), but:

$$D \subset \mathbb{F}_2^{48}, \quad D: \text{triply even, } \mathbf{1}_{48} \in D.$$

# Frame of $L \rightarrow$ Virasoro Frame of $V^{\natural}$

Dong–Mason–Zhu (1994)

$$L \supset F = \bigoplus_{i=1}^{24} \mathbb{Z}f_i: \text{ frame}$$

$$\rightarrow V^{\natural} \supset \mathcal{T} \cong L(1/2, 0)^{\otimes 48}: \text{ Virasoro frame}$$

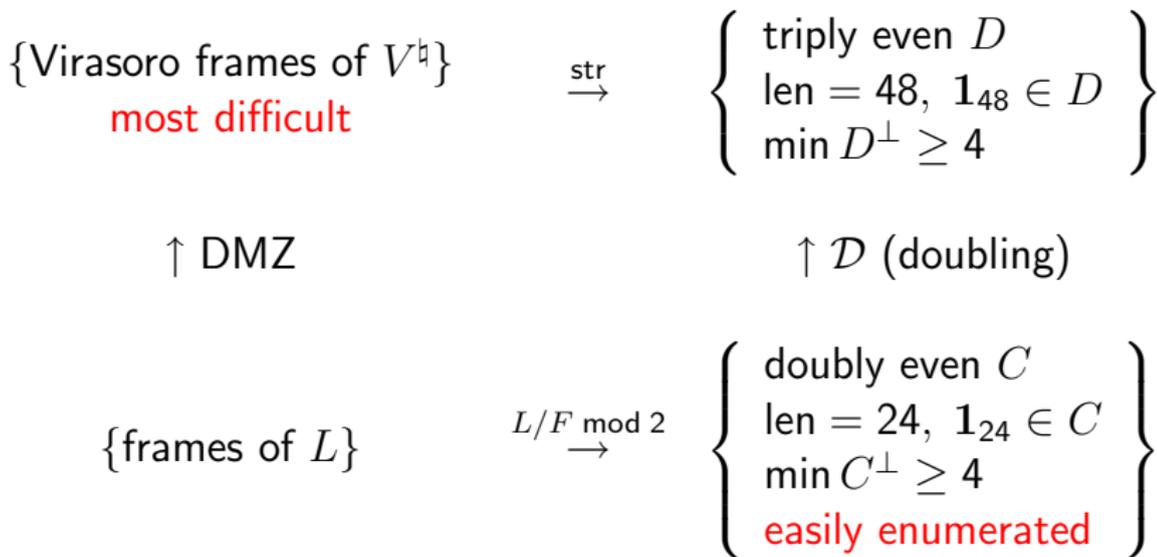
$$V^{\natural} = \bigoplus_{\beta \in D} V^{\beta} \text{ as } \mathcal{T}\text{-modules}$$

$$D = \text{structure code of } \mathcal{T} \\ = \mathcal{D}(L/F \bmod 2).$$

Note  $L/F \subset (\mathbb{Z}/4\mathbb{Z})^{24}$  since  $F \subset L \subset \frac{1}{4}F$ , so  
 $L/F \bmod 2 \subset \mathbb{F}_2^{24}$

Classification of  $F \subset L \implies$  classification of  $\mathcal{T} \subset V^{\natural}$ ?

# Frame of $L \rightarrow$ Virasoro Frame of $V^{\natural}$



The diagram commutes, and

$$\text{DMZ}(\{\text{frames of } L\}) \stackrel{(C)}{=} \text{str}^{-1}(\mathcal{D}(\{\text{doubly even}\})).$$

## Theorem (Betsumiya–Harada–Shimakura–M.)

Every maximal member of

$$\left\{ \begin{array}{l} \text{triply even } D \\ \text{length} = 48, \mathbf{1}_{48} \in D \end{array} \right\}$$

is

- $\mathcal{D}(C)$  for some doubly even code  $C$  of length 24, or
- decomposable (only two such codes, one of the form  $\mathcal{D}(C_1) \oplus \mathcal{D}(C_2) \oplus \mathcal{D}(C_3)$ , another of the form  $\mathcal{D}(C_1) \oplus \mathcal{D}(C_2)$ ), or
- a code of dimension 9 obtained from the triangular graph  $T_{10}$  on  $45 = |S_{10} : S_2 \times S_8|$  vertices.

The last case does not occur if we assume  $\min D^\perp \geq 4$ .  
According to Lam–Yamauchi, it must be a structure code of some framed VOA, not  $V^\natural$ .

## Corollary (Betsumiya–Harada–Shimakura–M.)

$$\begin{aligned} & \left\{ \begin{array}{l} \text{triply even } D \\ \text{len} = 48, \mathbf{1}_{48} \in D \\ \text{min } D^\perp \geq 4 \end{array} \right\} \\ &= \mathcal{D} \left( \left\{ \begin{array}{l} \text{doubly even } C \\ \text{len} = 24, \mathbf{1}_{24} \in C \\ \text{min } C^\perp \geq 4 \end{array} \right\} \right) \\ & \cup \{ \text{subcodes of decomposable } \mathcal{D}(C_1) \oplus \mathcal{D}(C_2), \dots \} \end{aligned}$$

$$\{T \subset V^{\natural}\} \xrightarrow{\text{str}} \mathcal{D} \left( \left\{ \begin{array}{l} \text{doubly even } C \\ \text{length} = 24 \\ \mathbf{1}_{24} \in C \\ \min C^{\perp} \geq 4 \end{array} \right\} \right) \cup \{\mathcal{D}(C_1) \oplus \mathcal{D}(C_2), \dots\}$$

$\uparrow$  DMZ

$\uparrow$   $\mathcal{D}$  (doubling)

$$\{F \subset L\} \xrightarrow{\text{mod } 2} \left\{ \begin{array}{l} \text{doubly even } C \\ \text{length} = 24 \\ \mathbf{1}_{24} \in C \\ \min C^{\perp} \geq 4 \end{array} \right\}$$

$$\text{DMZ}(\{\text{frames of } L\}) = \text{str}^{-1}(\mathcal{D}(\{\text{doubly even}\})).$$

Problem remains:

- $\{T \subset V^{\natural}\} \rightarrow \{\mathcal{D}(C_1) \oplus \mathcal{D}(C_2), \dots\}$  ?
- $\{F \subset L\}$  ?

Determine  $\{F \subset L\}$ , i.e., classify all frames of the Leech lattice  $L$ , with the help of the map

$$\{F \subset L\} \xrightarrow{L/F \bmod 2} \left\{ \begin{array}{l} \text{doubly even } C \\ \text{length} = 24 \\ \mathbf{1}_{24} \in C \\ \min C^\perp \geq 4 \\ \text{easily enumerated} \end{array} \right\}$$

$$F \subset L \subset \frac{1}{4}F \rightsquigarrow \mathcal{C}_F = L/F \subset (\mathbb{Z}/4\mathbb{Z})^{24} \rightsquigarrow C = L/F \bmod 2.$$

For each  $C \in \text{RHS}$ , classify  $F$  such that  $\mathcal{C}_F \bmod 2 \cong C$ .

The map  $F \mapsto L/F \bmod 2$  is **neither** injective nor surjective.

## Codes over $\mathbb{Z}/4\mathbb{Z}$

A **code over  $\mathbb{Z}/4\mathbb{Z}$**  of length  $n$  is a submodule of  $(\mathbb{Z}/4\mathbb{Z})^n$ .

**Equivalence** is by  $\{\pm 1\}^n \rtimes S_n$ .

For  $u \in (\mathbb{Z}/4\mathbb{Z})^n$ ,

$$\text{wt}(u) = \sum_{i=1}^n u_i^2,$$

where we regard  $u_i \in \{0, 1, 2, -1\} \subset \mathbb{Z}$ , and define

$$\min C = \min\{\text{wt}(u) \mid 0 \neq u \in C\}.$$

A code  $C \subset (\mathbb{Z}/4\mathbb{Z})^n$  is **Type II** if  $8 \mid \text{wt}(u)$  for all  $u \in C$ . Then

$$\{\text{frames of } L\} \xleftrightarrow{1:1} \left\{ \begin{array}{l} C \subset (\mathbb{Z}/4\mathbb{Z})^{24} \\ C : \text{Type II} \\ \min C = 16 \end{array} \right\} \xrightarrow{\text{mod } 2} \left\{ \begin{array}{l} \text{doubly even } C \\ \text{length} = 24 \\ \mathbf{1}_{24} \in C \\ \min C^\perp \geq 4 \end{array} \right\}$$

$$\left\{ \begin{array}{l} \mathcal{C} \subset (\mathbb{Z}/4\mathbb{Z})^{24}, \\ \mathcal{C} : \text{Type II,} \\ \min \mathcal{C} = 16 \end{array} \right\} \xrightarrow{\text{mod } 2} \left\{ \begin{array}{l} \text{doubly even } \mathcal{C} \\ \text{length} = 24 \\ \mathbf{1}_{24} \in \mathcal{C} \\ \min \mathcal{C}^\perp \geq 4 \end{array} \right\}$$

Let  $C$  be a doubly even code of length  $n$  spanned by the rows of a matrix  $A \in \text{Mat}_{k \times n}(\mathbb{F}_2)$ .

$$V = \{M \in \text{Mat}_{k \times n}(\mathbb{F}_2) \mid MA^T + AM^T = 0\},$$

$$W = \langle \{M \in \text{Mat}_{k \times n}(\mathbb{F}_2) \mid MA^T = 0\}, \{AE_{ii} \mid 1 \leq i \leq n\} \rangle.$$

## Theorem (Rains (1999))

$\exists \text{Aut}(C) \rightarrow \text{AGL}(V/W)$  and

$$\begin{aligned} & \{\mathcal{C} \subset (\mathbb{Z}/4\mathbb{Z})^n \mid \mathcal{C} = \mathcal{C}^\perp, \mathcal{C} \bmod 2 = C\} / \sim \\ & \xleftrightarrow{1:1} \{\text{orbits of } \text{Aut}(C) \text{ on } V/W\} \end{aligned}$$

Hopefully leads to the classification of  $F \subset L$ .