

Codes Generated by Designs, and Designs Supported by Codes Part II

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research school

Graphs, Codes, and Designs
Ramkhamhaeng University

- ① Part I
 - t -designs
 - intersection numbers
 - 5 -($24, 8, 1$) design
 - $[24, 12, 8]$ binary self-dual code
- ② Part II
 - Assmus–Mattson theorem
 - extremal binary doubly even codes
- ③ Part III
 - Hadamard matrices
 - ternary self-dual codes

Summary of Part I

\mathcal{D} : 5-(24, 8, 1) design (Witt system).

- The binary code C of \mathcal{D} is a doubly even self-dual $[24, 12, 8]$ code.
- $\{\text{supp}(x) \mid x \in C, \text{wt}(x) = 8\} = \mathcal{B}$.
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Part II will cover

- proof of the Assmus–Mattson theorem
- other 5-designs obtained from doubly even self-dual codes

The Assmus–Mattson theorem (1969)

Let C be a **binary** code of length v , minimum weight k .

$$\mathcal{P} = \{1, 2, \dots, v\},$$

$$\mathcal{B} = \{\text{supp}(x) \mid x \in C, \text{wt}(x) = k\},$$

$$S = \{\text{wt}(x) \mid x \in C^\perp, 0 < \text{wt}(x) < v\},$$

$$t = k - |S|.$$

Then $(\mathcal{P}, \mathcal{B})$ is a t - (v, k, λ) design for **some** λ .

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In fact

$$\lambda = \frac{k(k-1)\cdots(k-t+1)}{v(v-1)\cdots(v-t+1)} |\mathcal{B}|.$$

The real vector space of dimension 2^v

From a t -(v, k, λ) design $(\mathcal{P}, \mathcal{B})$,

- $p \in \mathcal{P} \rightarrow e_p$: a unit vector in \mathbb{F}_2^v .
- $B \in \mathcal{B} \rightarrow x^{(B)} \in \mathbb{F}_2^v$: characteristic vector
- $\mathcal{B} \rightarrow M(\mathcal{D})$: incidence matrix $\rightarrow C \subset \mathbb{F}_2^v$: binary code

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 $V = \mathbb{R}^{2^v} = \mathbb{R}^{\mathbb{F}_2^v}$.

- $x \in \mathbb{F}_2^v \rightarrow \hat{x}$: a unit vector in V
- $B \rightarrow x^{(B)} \in \mathbb{F}_2^v \rightarrow \widehat{x^{(B)}}$: a unit vector in V
- $\mathcal{B} \rightarrow \{x^{(B)} \mid B \in \mathcal{B}\} \rightarrow$ characteristic vector in V
- $C \rightarrow \hat{C}$: the characteristic vector of C in V

Important $2^v \times 2^v$ matrices

The linear transformation of $V = \mathbb{R}^{2^v}$ which is a key to the argument below is the Hadamard matrix of Sylvester type:

$$H = ((-1)^{x \cdot y})_{x, y \in \mathbb{F}_2^v}.$$

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We use H to investigate the metric space \mathbb{F}_2^v with the Hamming distance

$$d(x, y) = \text{wt}(x - y) = \text{wt}(x + y) \quad (x, y \in \mathbb{F}_2^v).$$

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The i -th distance matrix A_i is defined as

$$A_i = (\delta_{d(x, y), i})_{x, y \in \mathbb{F}_2^v} \quad (0 \leq i \leq v).$$

A_i : the i -th distance matrix

$$A_0 = I,$$

$$A_1 A_i = (i + 1)A_{i+1} + (v - i + 1)A_{i-1} \quad (1 \leq i < v).$$

In particular, A_i is a polynomial of degree i in A_1 .

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Define the diagonal matrix E_i^* by

$$\begin{aligned} E_i^* &= (\delta_{x,y} \delta_{\text{wt}(x),i})_{x,y \in \mathbb{F}_2^v} \\ &= \text{diag}(A_i \hat{0}). \end{aligned}$$

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E_i^* is “the projection onto weight- i vectors.”

$$E_i^* \mathbf{1} = A_i \hat{\mathbf{0}}, \quad \text{where } \mathbf{1} = (1, 1, \dots, 1)^\top \in V.$$

$$E_i^* E_j^* = \delta_{i,j} E_i^*, \quad \sum_{i=0}^v E_i^* = I.$$

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Theorem (Assmus–Mattson)

Let C be a binary code of length v ,

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Design property expressed by matrices

- $T \subset \mathcal{P}$, $|T| = t$, $x^{(T)} \in \mathbb{F}_2^v$: the characteristic vector of T ,
- $C_k = \{x \in C \mid \text{wt}(x) = k\}$, $k = \text{minimum weight of } C$,
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So we want to show

$E_t^* A_{k-t} \hat{C}$ is a constant multiple of $E_t^* \mathbf{1}$.

$$E_t^* A_{k-t} \hat{C} = \lambda E_t^* \mathbf{1}$$

Theorem (Assmus–Mattson)

$$\hat{C} = E_0^* \hat{C} + \sum_{i \geq k} E_i^* \hat{C} \quad (\text{minimum weight} = k),$$

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C and C^\perp are connected by H

$$(H\hat{C})_x = \sum_{y \in C} (-1)^{x \cdot y} = \begin{cases} |C| & \text{if } x \in C^\perp \\ 0 & \text{otherwise} \end{cases} = (|C|\hat{C}^\perp)_x,$$

so

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$$\begin{aligned} E_i^* \hat{C}^\perp \neq 0 &\iff E_i^* H \hat{C} \neq 0 \iff H^{-1} E_i^* H \hat{C} \neq 0 \\ &\iff E_i \hat{C} \neq 0. \end{aligned}$$

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$$\begin{aligned} S &= \{i \mid 0 < i < v, E_i^* \hat{C}^\perp \neq 0\} \\ &= \{i \mid 0 < i < v, E_i \hat{C} \neq 0\}. \end{aligned}$$

Since $\sum_{i=0}^v E_i = I$,

$$\hat{C} = (E_0 + E_v) \hat{C} + \sum_{i \in S} E_i \hat{C}.$$

Theorem (Assmus–Mattson)

$$\hat{C} = (E_0 + E_v) \hat{C} + \sum_{i \in S} E_i \hat{C} = E_0^* \hat{C} + \sum_{i \geq k} E_i^* \hat{C},$$

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For $y \in \mathbb{F}_2^v$ with $\text{wt}(y) = i$,

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E_i 's are projections onto eigenspaces of A_1

$$\begin{aligned} A_1 E_i &= A_1 \left(\frac{1}{2^v} H E_i^* H \right) = \frac{1}{2^v} (A_1 H) E_i^* H \\ &= \frac{1}{2^v} \left(H \sum_{j=1}^v (v - 2j) E_j^* \right) E_i^* H = \frac{1}{2^v} (v - 2i) H E_i^* H \\ &= (v - 2i) E_i. \end{aligned}$$

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$$A_1 E_i = (v - 2i) E_i, \text{ so } A_1 E_i V \subset E_i V$$

A_1^j also leave $E_i V$ invariant. Thus

$$\begin{aligned} E_t^* A_1^j (E_0 + E_v) \hat{C} &\in E_t^* A_1^j E_0 V + E_t^* A_1^j E_v V \\ &\subset E_t^* E_0 V + E_t^* E_v V \\ &= \mathbb{R} E_t^* \mathbf{1} + \mathbb{R} E_t^* \sum_{i=0}^v (-1)^i E_i^* \mathbf{1} \\ &= \mathbb{R} E_t^* \mathbf{1}. \end{aligned}$$

$$E_v V = \mathbb{R} \sum_{i=0}^v (-1)^i E_i^* \mathbf{1}, \quad E_0 V = \mathbb{R} \mathbf{1}$$

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Being a polynomial in A_1 , the matrices A_{k-t} also has the same property

$$E_t^* A_{k-t} (E_0 + E_v) \hat{C} \in \mathbb{R} E_t^* \mathbf{1}.$$

$$E_t^* A_1^j (E_0 + E_v) \hat{C}, E_t^* A_{k-t} (E_0 + E_v) \hat{C} \in \mathbb{R} E_t^* \mathbf{1}$$

Theorem (Assmus–Mattson)

$$(E_0 + E_v) \hat{C} + \sum_{i \in S} E_i \hat{C} = E_0^* \hat{C} + \sum_{i \geq k} E_i^* \hat{C} \text{ and } t = k - |S|$$

$$\implies E_t^* A_{k-t} (E_0 + E_v) \hat{C} + E_t^* A_{k-t} \sum_{i \in S} E_i \hat{C} \in \mathbb{R} E_t^* \mathbf{1}.$$

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$$E_t^* A_1^j \times (E_0 + E_v) \hat{C} + \sum_{i \in S} E_i \hat{C} = E_0^* \hat{C} + \sum_{i \geq k} E_i^* \hat{C}$$

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$$\langle I, A_1, A_1^2, A_1^3, \dots \rangle = \langle I, A_1, A_2, A_3, \dots \rangle$$

Also,

$$\begin{aligned} E_t^* A_j E_0^* \hat{C} &= E_t^* A_j \hat{0} \\ &= E_t^* E_j^* \mathbf{1} \\ &= \delta_{t,j} E_t^* \mathbf{1} \\ &\in \mathbb{R} E_t^* \mathbf{1}. \end{aligned}$$

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$V = \bigoplus_{i=0}^V E_i V$: eigenspace decomposition of A_1

A_1 has $|S|$ eigenvalues on

$$W = \bigoplus_{i \in S} E_i V.$$

Being a polynomial in A_1 , the matrix A_{k-t} has at most $|S|$ eigenvalues on W , so $\exists a_0, \dots, a_{|S|-1} \in \mathbb{Q}$ such that

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So

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Proof:

$$\begin{aligned} E_t^* A_{k-t} \sum_{i \in S} E_i \hat{C} &= E_t^* \sum_{j=0}^{|S|-1} a_j A_1^j \sum_{i \in S} E_i \hat{C} = \sum_{j=0}^{|S|-1} a_j E_t^* A_1^j \sum_{i \in S} E_i \hat{C} \\ &\equiv \sum_{j=0}^{|S|-1} a_j E_t^* A_1^j \sum_{i \geq k} E_i^* \hat{C} = \sum_{j=0}^{|S|-1} \sum_{i \geq k} a_j (E_t^* A_1^j E_i^*) \hat{C}. \end{aligned}$$

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$$\text{and } t = k - |S| \implies E_t^* A_{k-t} \sum_{i \in S} E_i \hat{C} \in \mathbb{R} E_t^* \mathbf{1}.$$

Proof:

$$\begin{aligned} E_t^* A_{k-t} \sum_{i \in S} E_i \hat{C} &= E_t^* \sum_{j=0}^{|S|-1} a_j A_1^j \sum_{i \in S} E_i \hat{C} = \sum_{j=0}^{|S|-1} a_j E_t^* A_1^j \sum_{i \in S} E_i \hat{C} \\ &\equiv \sum_{j=0}^{|S|-1} a_j E_t^* A_1^j \sum_{i \geq k} E_i^* \hat{C} = \sum_{j=0}^{|S|-1} \sum_{i \geq k} a_j (E_t^* A_1^j E_i^*) \hat{C}. \end{aligned}$$

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The Assmus–Mattson theorem

Theorem

Let C be a binary code of length v , minimum weight k .

$$\mathcal{P} = \{1, 2, \dots, v\},$$

$$\mathcal{B} = \{\text{supp}(x) \mid x \in C, \text{wt}(x) = k\},$$

$$S = \{\text{wt}(x) \mid x \in C^\perp, 0 < \text{wt}(x) < v\},$$

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Then $(\mathcal{P}, \mathcal{B})$ is a t - (v, k, λ) design for some λ .

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- C : $[24, 12, 8]$ binary doubly even self-dual ($C = C^\perp$) code, so $k = 8$ and C has only weights $0, 8, 12, 16, 24$.

$$S = \{\text{wt}(x) \mid x \in C^\perp, 0 < \text{wt}(x) < 24\} = \{8, 12, 16\},$$

$$t = k - |S| = 8 - 3 = 5.$$

Uniqueness of the extended binary Golay code

C : $[24, 12, 8]$ binary doubly even self-dual ($C = C^\perp$) code.

- The Assmus–Mattson theorem implies $(\mathcal{P}, \mathcal{B})$ is a 5 - $(24, 8, \lambda)$ design, where $\mathcal{P} = \{1, 2, \dots, 24\}$,

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- So C is the binary code of a 5 - $(24, 8, 1)$ design which was already shown to be unique.

This proves the uniqueness of the extended binary Golay code.

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suppose $C = C^\perp$, doubly even $\implies S$ not too large

Binary doubly even self-dual codes

Under what circumstance can one obtain a 5-design from a doubly even self-dual code? Let k be the minimum weight.

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In general, $\forall k$: a multiple of 4, $|S| = k - 5,$

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Theorem (Mallows–Sloane, 1973)

For $m \geq 1$, a binary doubly even self-dual $[24m, 12m]$ code has minimum weight **at most $4m + 4$** .

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For $m \geq 1$, an extremal binary doubly even self-dual code gives a $5-(24m, 4m + 4, \lambda)$ design by the Assmus–Mattson theorem.

- $m = 1$: the extended binary Golay code and the $5-(24, 8, 1)$ design
- $m = 2$: Houghten–Lam–Thiel–Parker (2003): unique $[48, 24, 12]$ code and a $5-(48, 12, 8)$ design which is unique under self-orthogonality.

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- For $m \geq 1$, an extremal binary doubly even self-dual code gives a 5 - $(24m, 4m + 4, \lambda)$ design by the Assmus–Mattson theorem.
- For $m \geq 3$, neither a code nor a design is known.

Theorem (Zhang, 1999)

There does **not** exist an extremal $[24m, 12m, 4m + 4]$ binary doubly even self-dual code for $m \geq 154$.