

Graduate Study in algebra and combinatorics at Tohoku University

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November 5, 2018
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Prime Numbers

2, 3, 5, 7, 11, 13, 17, 19, 23, 29, ...

- for **abstract algebra**, these are the source of finite prime fields, finite (abelian) simple groups.
- for **combinatorics**, these are the source of constructing some combinatorial objects, such as graphs, designs, codes.
- for **number theorists**, not individual prime numbers, but the set of all prime numbers, is of the primary interest.

Abstract algebra

The only **prime fields**, that is, a field which contains no proper subfield, **are**:

$$\mathbb{Q}, \quad \mathbb{F}_p = \text{GF}(p) \quad (p : \text{prime}),$$

where

$$\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z} = \{[0], [1], \dots, [p-1]\},$$

$$\begin{aligned} [a] &= \{mp + a \mid m \in \mathbb{Z}\} \\ &= \{n \in \mathbb{Z} \mid n \equiv a \pmod{p}\}. \end{aligned}$$

Definition

A **field** is a set K equipped with addition $+$ and multiplication, such that

- K is an abelian group with respect to addition,
- $K \setminus \{0\}$ is an abelian group with respect to multiplication, where 0 denotes the identity of K as an abelian group with respect to addition,
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$$a(b + c) = ab + ac \quad (a, b, c \in K).$$

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Exercise: Give a reason why $K = \mathbb{F}_p$ is a field, especially describe how to find the multiplicative inverse of $[a] \in \mathbb{F}_p \setminus \{[0]\}$ (what is $[3]^{-1}$ in \mathbb{F}_7 ?).

Polynomials

For a field K , the **univariate polynomial ring** with indeterminate x is denoted by $K[x]$. It consists of all polynomials in x with coefficients in K :

$$K[x] = \left\{ \sum_{i=0}^n a_i x^i \mid n \in \mathbb{Z}_{\geq 0}, a_0, \dots, a_n \in K \right\}.$$

Here x is not considered to be an element of K , rather, it is a **formal symbol**. However, we allow the operation “substitution $x = b$,” where $b \in K$:

$$\text{for } f(x) = \sum_{i=0}^n a_i x^i \in K[x], \quad f(b) = \sum_{i=0}^n a_i b^i \in K.$$

If $a_n \neq 0$, then n is called the **degree** of $f(x)$ and is denoted by **deg** f .

Factorization of polynomials

Let K be a field, and let $K[x]$ be the univariate polynomial ring with indeterminate x . **Exercise:** Prove the following:

Lemma

If $f(x), g(x) \in K[x] \setminus \{0\}$, then $\deg(fg) = \deg f + \deg g$.

Lemma

If $f(x) \in K[x]$, $b \in K$ and $f(b) = 0$, then there exists $g(x) \in K[x]$ such that $f(x) = (x - b)g(x)$.

Lemma

If $f(x) \in K[x] \setminus \{0\}$, then

$$|\{b \in K \mid f(b) = 0\}| \leq \deg f.$$

Finite fields

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For $K = \mathbb{F}_7$, divisors of $q - 1 = 6$ are 1, 2, 3, 6. Elements of order 1, 2, 3 should be roots of $x^1 - 1$, $(x^2 - 1)/(x - 1) = x + 1$, and $(x^3 - 1)/(x - 1) = x^2 + x + 1$, respectively, so there are (at most) 1, 1, 2 such elements. The remaining $6 - (1 + 1 + 2) = 2$ elements must have order 6.

Exercise: Give a proof for an arbitrary odd prime p .

Primitive elements

If K is a finite field with q elements, then K^\times is a **cyclic** group of order $q - 1$.

A **generator**, that is, an element of order $q - 1$, of this cyclic group is called a **primitive element** of K .

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For $K = \mathbb{F}_7$, 2 is not a primitive element: $2^3 = 1$, while 3 is a primitive element: $3^2 \neq 1$, $3^3 \neq 1$.

Artin's conjecture: there are infinitely many primes p for which 2 is a primitive element.

Quadratic residues

Fix an odd prime p , and let $K = \mathbb{F}_p$. Let α be a primitive element of K , so that

$$K^\times = \{1, \alpha, \alpha^2, \dots, \alpha^{p-2}\}.$$

The set of **quadratic residues** Q and that of quadratic **nonresidues** and N are defined as

$$Q = \{1, \alpha^2, \alpha^4, \dots, \alpha^{p-3}\},$$

$$N = \{\alpha, \alpha^3, \dots, \alpha^{p-2}\}.$$

The quadratic residue **character** χ of K is

$$\chi(a) = \begin{cases} 0 & \text{if } a = 0, \\ 1 & \text{if } a \in Q, \\ -1 & \text{if } a \in N. \end{cases}$$

Abstract algebra

Fields: other than prime fields \mathbb{Q} , \mathbb{F}_p . A quadratic extension $\mathbb{F}_3[i]$, $i^2 = -1$, and a transcendental extension $K(x)$, the field of all rational functions in a formal variable x with coefficients in a field K .

Groups: The only finite groups which possess no nontrivial subgroups are cyclic groups of prime order. Finite groups which possess no nontrivial normal subgroups are called simple, and they are classified after huge amount of effort.

Rings: noncommutative rings are closely related to representation theory (of groups). Typical examples of commutative rings are multivariate polynomial rings.

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Applied, or not so abstract, algebra

Fields: algorithmic aspects in finite fields. Extensions of $K(x)$ of finite degree are called **algebraic function fields**, and it has applications to algebraic geometry and codes.

Finite groups: permutation groups, or more generally **group action** on **combinatorial** objects. Isomorphisms and automorphisms of graphs.

Representation theory: **combinatorial** representation theory, **symmetric groups**.

Gröbner bases for ideals in multivariate polynomial rings.

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Group action on combinatorial objects

A **combinatorial object** E is a subset of X^n where X is a finite set and n is a positive integer.

A **graph** is a subset E of X^2 satisfying

$$(x, y) \in E \implies x \neq y \text{ and } (y, x) \in E.$$

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The **automorphism group** of a combinatorial object E is the subgroup of the symmetric group on X whose induced action on X^n preserves E .

A circulant graph

Let $X = \mathbb{F}_p$, where p is a prime with $p \equiv 1 \pmod{4}$. Then $-1 \in Q$ (**quadratic residue**), so $Q = -Q$. This implies

$$E = \{(x, y) \mid x, y \in X, x - y \in Q\}$$

defines a graph, that is,

$$(x, y) \in E \implies x \neq y \text{ and } (y, x) \in E.$$

The additive group X **preserves** E :

$$z \in X, (x, y) \in E \implies (x + z, y + z) \in E.$$

For example, $p = 5$, $Q = \{1, -1\}$, E consists of the set of edges of a pentagon.

A conference graph

Let $X = \mathbb{F}_p$, where p is a prime with $p \equiv 1 \pmod{4}$. Consider the graph G with vertex set X , and edge set

$$E = \{(x, y) \mid x, y \in X, x - y \in Q\}.$$

Then for $x, y \in X$,

$$\#\{\text{common neighbors of } x, y\} = \begin{cases} \frac{p-1}{2} & \text{if } x = y, \\ \frac{p-5}{4} & \text{if } (x, y) \in E, \\ \frac{p-1}{4} & \text{otherwise.} \end{cases}$$

A graph on p (not necessarily prime) vertices satisfying the above condition is called a **conference graph**.

It is **unknown** whether a conference graph on **65** vertices exists.

An incidence structure

Let $X = \mathbb{F}_p$, where p is a prime. Recall that, if $p \equiv 1 \pmod{4}$, then $-1 \in Q$, so $-Q = Q$.

Now assume $p \equiv -1 \pmod{4}$, then $-1 \notin Q$. In this case
 $-Q = N$ (quadratic **nonresidue**).

Exercise: Prove this.

(For $p = 7$, $Q = \{1, 2, 4\}$, $-Q = N = \{3, 5, 6\}$).

Let

$$\mathcal{B} = \{Q + a \mid a \in \mathbb{F}_p\}.$$

Then for any distinct $x, y \in K$,

$$|\{B \in \mathcal{B} \mid x, y \in B\}| = \frac{p-3}{4}.$$

Exercise: Prove this.

A symmetric $(4m - 1, 2m - 1, m - 1)$ design

Let $X = \mathbb{F}_p$, where p is a prime of the form $p = 4m - 1$, where $m \in \mathbb{Z}$. Let

$$\mathcal{B} = \{Q + a \mid a \in \mathbb{F}_p\}.$$

Then for any distinct $x, y \in K$,

$$|\{B \in \mathcal{B} \mid x, y \in B\}| = \frac{p - 3}{4} = m - 1.$$

A family \mathcal{B} of $(2m - 1)$ -element subsets of an $(4m - 1)$ -element set is called a **symmetric $(4m - 1, 2m - 1, m - 1)$ design** if the above condition is satisfied.

The existence is **unknown** for $m = 47$ ($p = 187$ is not a prime).

A skew Hadamard matrix

Let $X = \mathbb{F}_p$, where p is a prime with $p \equiv -1 \pmod{4}$. Let C be the matrix whose rows and columns are indexed by X , and whose entries are defined by

$$C_{xy} = \chi(x - y) \quad (x, y \in X),$$

where χ denotes the **quadratic residue character**. Define a $(p + 1) \times (p + 1)$ matrix

$$H = \begin{bmatrix} 1 & 1 \cdots 1 \\ -1 & \\ \vdots & C + I \\ -1 & \end{bmatrix}$$

Then

$$HH^T = (p + 1)I.$$

A Hadamard matrix

A square matrix H of order n is called a **Hadamard matrix** if all of its entries are ± 1 , and

$$HH^T = nI.$$

For example,

$$[1], \quad \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}, \quad \begin{bmatrix} -1 & 1 & 1 & 1 \\ 1 & -1 & 1 & 1 \\ 1 & 1 & -1 & 1 \\ 1 & 1 & 1 & -1 \end{bmatrix}.$$

If H is a Hadamard matrix of order n , then $n = 1, 2$ or n is divisible by 4.

It is **unknown** whether a Hadamard matrix of order **668** exists ($p = 667$ is not a prime).

Summary

Prime numbers can be used to construct graphs, designs and Hadamard matrices.

A straightforward method using prime numbers are **not** sufficient to produce all possible combinatorial objects.

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Prime numbers can be used to construct graphs, designs and Hadamard matrices.

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Similar situations arise in **spectral graph theory**, **algebraic coding theory**.

Related areas: extremal set theory (Turán graphs), enumerative combinatorics (Catalan numbers).