

# Neighbor-balanced bijections of hypercubes

Akihiro Munemasa  
Tohoku University  
(joint work with Steven Surya Tanujaya)

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- 1 Definitions and notation: **balanced sets** in hypercubes, definition and examples of **neighbor-balanced bijections**.
- 2 **Distance magic labelings**: relationship to neighbor-balanced bijections, and to the kernel of the adjacency matrix.
- 3 Characterization of linear neighbor-balanced bijections in terms of eigenfunctions: **Main Theorem 1** gives an expansion of the distance magic labeling obtained from a neighbor-balanced bijection in  $AGL(n, 2)$  in terms of eigenfunctions.
- 4 Nonlinear neighbor-balanced bijections: **Main Theorem 2** states that, for every  $n \geq 6$ , with  $n \equiv 2 \pmod{4}$ , there exists a nonlinear neighbor-balanced bijection  $f: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  with  $f(0) = 0$ .

# Balanced set

$\mathbf{F}_2 = \{0, 1\}$ . The  $n$ -dimensional **hypercube** (also known as the Hamming graph  $H(n, 2)$ ) is the graph

- vertex set  $\mathbf{F}_2^n$
- edge set  $\{\{u, u + e_j\} \mid u \in \mathbf{F}_2^n, j \in [n]\}$

where  $e_1, \dots, e_n$  denote the standard basis of  $\mathbf{F}_2^n$ .

$n = 2$ . Neighbors are

$$\begin{aligned} N(00) &= N(11) = \{01, 10\} \\ N(01) &= N(10) = \{00, 11\} \end{aligned}$$

A subset  $X \subset \mathbf{F}_2^n$  is called **balanced** (also known as an orthogonal array of strength 1) if

$$|\{x \in X \mid x_j = 1\}| = \frac{|X|}{2} \quad (\forall j \in [n]).$$

Regarding  $X$  as an  $|X| \times n$  matrix, this means that every column of  $X$  has the same number of 0 and 1, or equivalently, every column of  $X$  has **weight**  $|X|/2$ .

$$X = \begin{bmatrix} ( & ) \\ ( & ) \\ \vdots \\ ( & ) \end{bmatrix} \quad \begin{aligned} N(00) &= \begin{bmatrix} (01) \\ (10) \end{bmatrix} \\ N(01) &= \begin{bmatrix} (00) \\ (11) \end{bmatrix} \end{aligned}$$

For  $a \in \mathbf{F}_2^n$ , the **weight**  $\text{wt}(a)$  of  $a$  is

$$\text{wt}(a) = |\{j \in [n] \mid a_j = 1\}|.$$

# Neighbor-balanced bijections

A bijection  $f : \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  is called **neighbor-balanced** if

$$f(N(u)) \text{ is balanced } (\forall u \in \mathbf{F}_2^n).$$

$n = 2$ ,  $\text{id}_{\mathbf{F}_2}$  is neighbor-balanced.

For  $n$  odd,  $|N(u)| = n$ ,  $N(u)$  or its image  $f(N(u))$  is never balanced.

The following construction is due to Gregor–Kovář (2013): Suppose  $n = 4p + 2$ . Define a linear transformation  $f : \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  by  $f(u) = Mu$ , where

$$M = \begin{bmatrix} 1 & 0 & \mathbf{1}_{2p} & 0 \\ 0 & 1 & 0 & \mathbf{1}_{2p} \\ 0 & 0 & I_{2p} & J_{2p} \\ 0 & 0 & J_{2p} & I_{2p} \end{bmatrix} \in GL(n, 2)$$

Then  $f(N(0)) = \{Me_j \mid j \in [n]\}$  consists of the row vectors of

$$M^\top = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ \mathbf{1}_{2p}^\top & 0 & I_{2p} & J_{2p} \\ 0 & \mathbf{1}_{2p}^\top & J_{2p} & I_{2p} \end{bmatrix}$$

in which every column has  $2p + 1$  zeros and ones.

Since

$$f(N(u)) = f(N(0) + u) = f(N(0)) + f(u)$$

is also balanced,  $f$  is neighbor-balanced.

## Proposition (Gregor–Kovář, 2013)

If every row of  $M \in GL(n, 2)$  has weight  $n/2$ , then the linear transformation defined by  $M$  is neighbor-balanced.

If  $f: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  is neighbor-balanced, then so is  $\alpha \circ f \circ \beta$ , for  $\alpha, \beta \in \text{Aut } H(n, 2)$ .

This means that the set of neighbor-balanced bijections is a union of double cosets of  $\text{Aut } H(n, 2)$  in the full symmetric group  $\text{Sym } \mathbf{F}_2^n$ .

### Note

$\text{Aut } H(n, 2) = \mathbf{F}_2^n \rtimes \text{Sym}(n) \leq AGL(n, 2) \leq \text{Sym } \mathbf{F}_2^n$ ,  
where  $AGL(n, 2) = \mathbf{F}_2^n \rtimes GL(n, 2)$ .

- 1 We characterize neighbor-balanced bijections in  $AGL(n, 2)$  in terms of eigenvectors of the adjacency matrix of  $H(n, 2)$ .
- 2 We disprove a conjecture of Gregor–Kovář (2013):

$$\{\text{neighbor-balanced bijections}\} \subseteq AGL(n, 2)$$

by constructing a nonlinear neighbor-balanced bijection  $g$  with  $g(0) = 0$ .

A bijection  $\gamma: \mathbf{F}_2^n \rightarrow \{0, 1, \dots, 2^n - 1\}$  is a **distance magic labeling** if

$$\sum_{x \in N(u)} \gamma(x) \text{ is a constant } \frac{n(2^n - 1)}{2}$$

Regarding  $\gamma \in \mathbb{R}^{2^n}$  and using the adjacency matrix  $A$  of the hypercube, this means

$$A\gamma = \text{constant} \cdot \mathbf{1} = \frac{n(2^n - 1)}{2}$$

Distance magic labelings of graphs were studied by Stanley (1973).

### Proposition (Gregor–Kovář, 2013)

Let  $\zeta: \mathbf{F}_2^n \rightarrow \{0, 1, \dots, 2^n - 1\}$  be the inverse of the 2-adic expansion. If  $f: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  is neighbor-balanced, then  $\zeta \circ f$  is a distance magic labeling.

$$\sum_{x \in N(u)} \zeta \circ f(x) = \frac{n(1 + 2 + \dots + 2^{n-1})}{2} = \frac{n(2^n - 1)}{2}.$$

coordinates

$$f(N(u)) \left\{ \begin{array}{l} (0 \quad \quad \quad) \\ \vdots \\ (0 \quad \quad \quad) \\ (1 \quad \quad \quad) \\ \vdots \\ (1 \quad \quad \quad) \end{array} \right\} \xrightarrow{\zeta} \{0, 1, \dots, 2^n - 1\}$$

# Spectrum of the hypercube

If  $\gamma: \mathbf{F}_2^n \rightarrow \{0, 1, \dots, 2^n - 1\}$  is a distance magic labeling, then

$$A\gamma = \frac{n(2^n - 1)}{2} \cdot \mathbf{1} = \frac{2^n - 1}{2} A\mathbf{1}.$$

So

$$\gamma - \frac{2^n - 1}{2} \mathbf{1} \in \text{Ker } A.$$

Spectrum of  $A$  consists of  $n - 2i$  ( $i = 0, 1, \dots, n$ ).

$$V_i = \text{Ker}(A - (n - 2i)I) \quad (i = 0, 1, \dots, n),$$
$$V_{n/2} = \text{Ker } A.$$

Define

$$\chi(a) = ((-1)^{\langle a, x \rangle})_{x \in \mathbf{F}_2^n} \in \mathbb{R}^{2^n}.$$

Then

$$A\chi(a) = (n - 2 \text{wt}(a))\chi(a).$$

So  $V_i$  has basis  $\{\chi(a) \mid a \in \mathbf{F}_2^n, \text{wt}(a) = i\}$ .

In particular,  $\dim \text{Ker } A = \binom{n}{n/2}$ , and  $\text{Ker } A$  has basis

$$\{\chi(a) \mid a \in \mathbf{F}_2^n, \text{wt}(a) = n/2\}.$$

Recall that  $\zeta: \mathbf{F}_2^n \rightarrow \{0, 1, \dots, 2^n - 1\}$  is the inverse of the 2-adic expansion.

If  $f: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$ ,  $f(u) = Mu$  is neighbor balanced, then  $\zeta \circ f$  is a distance magic labeling, so

$$\zeta \circ f - \frac{2^n - 1}{2} \mathbf{1} \in \text{Ker } A$$

It can be shown:

$$\zeta \circ f - \frac{2^n - 1}{2} \mathbf{1} = - \sum_{i \in [n]} 2^{i-2} \chi(M_i),$$

where  $M_i$  denotes the  $i$ -th row vector of  $M$  (and hence  $\text{wt}(M_i) = n/2$ ).

## Theorem (M.–Steven S. Tanujaya)

Let  $f: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  be neighbor balanced. Then  $f \in \text{AGL}(n, 2)$  if and only if

$$\begin{aligned} \exists M_1, \dots, M_n \in \{x \in \mathbf{F}_2^n \mid \text{wt}(x) = n/2\}, \\ \exists \epsilon_1, \dots, \epsilon_n \in \{\pm 1\} \end{aligned}$$

such that

$$\zeta \circ f - \frac{2^n - 1}{2} \mathbf{1} = \sum_{i \in [n]} \epsilon_i 2^{i-2} \chi(M_i).$$

We next construct neighbor-balanced bijections  $g \notin \text{AGL}(n, 2)$ .



# The case $n \equiv 0 \pmod{4}$

## Proposition

If  $n \equiv 0 \pmod{4}$ , then there is no distance magic labeling for  $H(n, 2)$ .

According to Gregor and Kovář (2013), this is due to Barrientos–Cichacz–Fronček–Krop–Raridan.

So there is no neighbor-balanced bijection for  $H(n, 2)$  if  $n \equiv 0 \pmod{4}$ .

This proposition can be proved by observing the action of distance- $n$  matrix  $A_n$  on  $\text{Ker } A$ .

If  $n$  is even and  $\text{wt}(a) = n/2$ , then

$$\begin{aligned} A_n \chi(a) &= (-1)^{n/2} \chi(a) \\ &= \chi(a) \quad \text{if } n \equiv 0 \pmod{4}. \end{aligned}$$

This means that the eigenvalue of  $A_n$  on  $\text{Ker } A$  is 1, so  $A_n$  fixes the vector

$$\gamma - \frac{2^n - 1}{2} \mathbf{1}$$

consisting of  $2^n$  distinct entries. This is impossible since  $A_n$  is a permutation matrix of order 2.

# Nonlinear neighbor-balanced bijections

Now assume  $n \geq 6$  and  $n \equiv 2 \pmod{4}$ .

Gregor–Kovář (2013) conjectured:

## Conjecture

Every neighbor-balanced bijection of  $\mathbf{F}_2^n$  is affine linear, that is, an element of  $AGL(n, 2)$ .

We present counterexamples.

Let  $n = 4p + 2$ . Define  $f: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  by  $f(u) = Mu$ , where

$$M = \begin{bmatrix} 1 & 0 & \mathbf{1}_{2p} & 0 \\ 0 & 1 & 0 & \mathbf{1}_{2p} \\ 0 & 0 & I_{2p} & J_{2p} \\ 0 & 0 & J_{2p} & I_{2p} \end{bmatrix}$$

Then  $f$  is a neighbor-balanced bijection,

$$f \in GL(n, 2) \leq AGL(n, 2).$$

We modify  $f$  slightly to produce a nonlinear neighbor-balanced bijection  $g$  with  $g(0) = 0$ .

# A construction

Define  $\sigma: \mathbf{F}_2^3 \rightarrow \mathbf{F}_2^3$  by

$$\sigma(u) = \begin{cases} 001 & \text{if } u = 110, \\ 110 & \text{if } u = 001, \\ u & \text{otherwise.} \end{cases}$$

Note that  $\sigma$  is **not** linear, since

$$\sigma(e_1 + e_2) = e_3 \neq e_1 + e_2 = \sigma(e_1) + \sigma(e_2).$$

Define  $g: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  by

$$g = (\sigma \times \text{id}_{\mathbf{F}_2^{n-3}}) \circ f$$

$$g: \mathbf{F}_2^n \xrightarrow{f} \mathbf{F}_2^n = \begin{array}{ccc} \mathbf{F}_2^3 & \xrightarrow{\sigma} & \mathbf{F}_2^3 \\ \oplus & & \oplus \\ \mathbf{F}_2^{n-3} & \xrightarrow{\text{id}} & \mathbf{F}_2^{n-3} \end{array} = \mathbf{F}_2^n$$

We claim that  $g$  is a neighbor-balanced bijection.

Clearly,  $g(0) = 0$ , and  $g$  is not linear, that is,  $g \notin \text{AGL}(n, 2)$ .

# $g$ is neighbor-balanced

Note  $g(N(u)) = \{g(u + e_j) \mid j \in [n]\}$ .

To show that  $g$  is neighbor-balanced, we need:

$$|\{j \in [n] \mid g(u + e_j)_i = 1\}| = \frac{n}{2} \quad (i \in [n]).$$

Since  $g = (\sigma \times \text{id}_{\mathbf{F}_2^{n-3}}) \circ f$ ,

$$g(u + e_j)_i = f(u + e_j)_i \quad (i \in \{4, 5, \dots, n\}).$$

Since  $f$  is neighbor-balanced,

$$\begin{aligned} & |\{j \in [n] \mid g(u + e_j)_i = 1\}| \\ &= |\{j \in [n] \mid f(u + e_j)_i = 1\}| \\ &= \frac{n}{2} \quad (i \in \{4, 5, \dots, n\}). \end{aligned}$$

It remains to check

$$|\{j \in [n] \mid g(u + e_j)_i = 1\}| = \frac{n}{2} \quad (i \in \{1, 2, 3\}).$$

Let

$$E = \{0, e_1, e_2, e_3\} = \left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}$$

## Lemma

Let  $v_1, v_2, v_3 \in \mathbf{F}_2^n$ , and suppose

$$\text{wt}(v_1) = \text{wt}(v_2) = \text{wt}(v_3) = \text{wt}(v_1 + v_2 + v_3) = \frac{n}{2}.$$

Then for  $\forall b \in \mathbf{F}_2^3$ , the number of column vectors of the matrix

$$\begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix}$$

belonging to the set  $E + b$  is  $n/2$ .

For example, in the matrix

$$\begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix},$$

there are 3 vectors in the set

$$E + \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} = \left\{ \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right\}.$$

Recall  $f: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  was defined as  $f(u) = Mu$ , where

$$M = \begin{bmatrix} 1 & 0 & \mathbf{1}_{2p} & 0 \\ 0 & 1 & 0 & \mathbf{1}_{2p} \\ 0 & 0 & I_{2p} & J_{2p} \\ 0 & 0 & J_{2p} & I_{2p} \end{bmatrix}$$

$v_i = i$ -th row of  $M$  ( $i = 1, 2, 3$ ), satisfy the hypothesis of Lemma. Indeed,

$$v_1 = (1, 0, \mathbf{1}_{2p}, 0_{2p}),$$

$$v_2 = (0, 1, 0_{2p}, \mathbf{1}_{2p}),$$

$$v_3 = (0, 0, 1, 0_{2p-1}, \mathbf{1}_{2p}),$$

$$v_1 + v_2 + v_3 = (1, 1, 0, \mathbf{1}_{2p-1}, 0_{2p}).$$

all have weight  $2p + 1 = n/2$ .

Let

$$M' = \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} = \text{the first 3 rows of } M.$$

By Lemma, for  $\forall b \in \mathbf{F}_2^3$ , the number of column vectors of  $M'$  belonging to the set  $E + b$  is  $n/2$ , i.e.,

$$|\{j \in [n] \mid j\text{-th column of } M' \in E + b\}| = \frac{n}{2}.$$

It remains to check

$$|\{j \in [n] \mid g(u + e_j)_i = 1\}| = \frac{n}{2} \quad (i \in \{1, 2, 3\}).$$

Recall

$$E = \{000, 100, 010, 001\},$$

$$\sigma(u) = \begin{cases} 001 & \text{if } u = 110, \\ 110 & \text{if } u = 001, \\ u & \text{otherwise,} \end{cases}$$

$$g: \mathbf{F}_2^n \xrightarrow{f} \mathbf{F}_2^n = \begin{array}{ccc} \mathbf{F}_2^3 & \xrightarrow{\sigma} & \mathbf{F}_2^3 \\ \oplus & & \oplus \\ \mathbf{F}_2^{n-3} & \xrightarrow{\text{id}} & \mathbf{F}_2^{n-3} \end{array} = \mathbf{F}_2^n$$

For  $i = 1$ , let  $\sigma_1: \mathbf{F}_2^3 \xrightarrow{\sigma} \mathbf{F}_2^3 \xrightarrow{\pi_1} \mathbf{F}_2$ . Then

$$g(u + e_j)_1 = 1$$

$$\iff \sigma_1(f(u + e_j)_1, f(u + e_j)_2, f(u + e_j)_3) = 1$$

$$\iff (f(u + e_j)_i)_{i=1}^3 \in \sigma_1^{-1}(1)$$

$$\iff (M(u + e_j)_i)_{i=1}^3 \in \{101, 001, 111, 100\}$$

$$\iff M'u + M'e_j \in \{000, 100, 010, 001\} + 101$$

$$\iff j\text{-th column of } M' \in E + (101 + M'u)$$

Thus

$$|\{j \in [n] \mid g(u + e_j)_1 = 1\}| = \frac{n}{2}.$$

Similar for  $i = 2, 3$ .

## Theorem (M.–Steven S. Tanujaya)

Let  $n \geq 6$  with  $n \equiv 2 \pmod{4}$ . Define  $\sigma: \mathbf{F}_2^3 \rightarrow \mathbf{F}_2^3$  by

$$\sigma(u) = \begin{cases} 001 & \text{if } u = 110, \\ 110 & \text{if } u = 001, \\ u & \text{otherwise.} \end{cases}$$

$f: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  by  $f(u) = Mu$ , where

$$M = \begin{bmatrix} 1 & 0 & \mathbf{1}_{2p} & 0 \\ 0 & 1 & 0 & \mathbf{1}_{2p} \\ 0 & 0 & I_{2p} & J_{2p} \\ 0 & 0 & J_{2p} & I_{2p} \end{bmatrix},$$

and  $g: \mathbf{F}_2^n \rightarrow \mathbf{F}_2^n$  by

$$g = (\sigma \times \text{id}_{\mathbf{F}_2^{n-3}}) \circ f$$

Then  $g \notin AGL(n, 2)$  and  $g$  is neighbor-balanced.

Gregor–Kovář also posed a problem

### Problem

For  $n \geq 6$  and  $n \equiv 2 \pmod{4}$ , Find a distance magic labeling of the  $n$ -dimensional hypercube that is not of the form  $\zeta \circ f$  for any neighbor-balanced bijection  $f$ .

Recently, Savický arXiv:2102.08212 presented examples for  $n = 6$ .