

# Extremal Configurations in Dimension 48

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# Motivation

The binary perfect Golay code is a set of  $2^{12}$  elements of the vector space  $\mathbb{F}_2^{23}$ , giving a partition of  $\mathbb{F}_2^{23}$  into  $2^{12}$  spheres of volume

$$1 + \binom{23}{1} + \binom{23}{2} + \binom{23}{3} = 2^{11},$$

radius 3 with respect to the Hamming metric.

The Golay code  $G_{23}$  can be made linear, and unique up to isometry.

Construction: by quadratic residues modulo 23.

# Sphere Packing Bound

The Hamming distance is defined by

$$d(x, y) = |\{i \mid x_i \neq y_i\}|, \quad x, y \in \mathbb{F}_2^n.$$

$$|C| \leq \frac{2^n}{1 + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{r}}$$

if  $C$  is a set of centers of non-overlapping spheres of radius  $r$ .

**Uniqueness:** The binary perfect Golay code is the only way to partition  $\mathbb{F}_2^n$  into spheres of radius  $r \geq 2$ .

# Related Objects

The binary perfect Golay code  $G_{23}$

→ The extended binary Golay code

→ The Mathieu group  $M_{24}$

→ The Witt system  $W_{24}$

→ The Leech lattice  $\Lambda \subset \mathbb{R}^{24}$

→ Conway's simple groups

→ The Layer  $\Lambda_4$

→ The Layer on a hyperplane  $\Lambda_4 \cap \mathbb{R}^{23}$

Surprisingly, Mathieu groups were discovered first.

# Extremality of configurations in dimension 24

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- $G_{23}$ : sphere packing bound  $\leq 2^{12}$
- $G_{24}$ : minimum distance bound  $\leq 8$
- $\Lambda$ : minimum norm bound  $\leq 4$
- $\Lambda_4 \cap \mathbb{R}^{23}$ : size bound for antipodal 3-distance set  $\leq 552$
- $W_{24}$ : 5-design
- $\Lambda_4$ : spherical 11-design, bound  $\geq 196560$
- $\Lambda_4 \cap \mathbb{R}^{23}$ : spherical 5-design, bound  $\geq 552$

# General inequalities

Doubly-even self-dual code of length  $n$  :  $C \subset \mathbb{F}_2^n$ ,  
 $C = C^\perp$ ,  $\forall x \in C$ ,  $\text{wt}(x) \equiv 0 \pmod{4}$ .

$$\text{wt}(x) = d(x, 0)$$

$$\min \text{wt}(C) = \min\{\text{wt}(x) \mid x \in C, x \neq 0\}.$$

length	8	16	24	32	40	48	...	$n$
min wt $\leq$	4	4	8	8	8	12	...	$4[n/24] + 4$

# General inequalities

Even unimodular lattice of rank  $n$ :  $\Lambda \subset \mathbb{R}^n$ ,  
 $\Lambda = \Lambda^* = \{x \in \mathbb{R}^n \mid \langle x, y \rangle \in \mathbb{Z}\}$  (or equivalently,  
 $\text{disc } \Lambda = 1$ )  
 $\forall x \in \Lambda, \langle x, x \rangle \equiv 0 \pmod{2}$ .

$$\min(\Lambda) = \min\{\langle x, x \rangle \mid x \in \Lambda, x \neq 0\}.$$

rank	8	16	24	32	40	48	...	$n$
$\min \leq$	2	2	4	4	4	6	...	$2[n/24] + 2$

# The Broué-Enguehard map

A doubly-even self-dual code of length  $n$  has minimum weight  $\leq 4\lfloor n/24 \rfloor + 4$ .

An even unimodular lattice of rank  $n$  has minimum norm  $\leq 2\lfloor n/24 \rfloor + 2$ .

This coincidence comes from Broué-Enguehard isomorphism of an invariant ring  $R$  and the ring of modular forms.

As a graded ring,  $R$  has Poincaré series

$$\sum_{j=0}^{\infty} \dim R_j t^j = \frac{1}{(1 - t^8)(1 - t^{12})}$$



# The Poincaré series

The Poincaré series of the graded ring of the invariant ring containing the weight enumerator polynomials of doubly-even self-dual codes is

$$\begin{aligned}\sum_{n=0}^{\infty} \dim R_n t^n &= \frac{1}{(1 - t^8)(1 - t^{12})} \\ &= 1 + t^8 + t^{12} + t^{16} + t^{20} \\ &\quad + 2t^{24} + t^{28} + 2t^{32} + 2t^{36} + 2t^{40} + 2t^{44} \\ &\quad + 3t^{48} + 2t^{52} + 3t^{56} + \dots\end{aligned}$$

Roughly speaking,  $\dim R_n$  is the degree of freedom for minimum weight bound.

# General inequality for 3-distance set

$\mathbb{R}^n \supset S^{n-1} \supset X$ : finite antipodal 3-distance set. Then

$$|X| \leq n(n+1).$$

Equality holds if  $n = 2$  (hexagon),  $n = 3$  (icosahedron),

$$n = 23(\text{Leech} \cap \mathbb{R}^{23}), 47(?), 79(?), \\ \dots, (2m+1)^2 - 2, \dots$$

# Dimension 48

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A doubly-even self-dual code could have minimum weight  $4\lfloor n/24 \rfloor + 4 = 12$ .

An even unimodular lattice could have minimum norm  $2\lfloor n/24 \rfloor + 2 = 6$ .

An antipodal 3-distance set in the unit sphere in  $\mathbb{R}^{47}$  could have  $n(n+1) = 47 * 48$ .

# Dimension 48 (Results)

A doubly-even self-dual code with minimum weight 12 is known (the extended quadratic residue code). **Shown to be unique (by computer).**

**Houghten–Lam–Thiel–Parker (2003)**

Three even unimodular lattices with minimum norm 6 are known:  $P_{48q}$ ,  $P_{48p}$ ,  $P_{48n}$ . **Not known whether these three are the only ones.**

An antipodal 3-distance set in the unit sphere in  $\mathbb{R}^{47}$  could have  $47 * 48$ , **but it was shown recently (Bannai–M.–Venkov) that such a set does not exist.**

# Construction A

Let  $\varphi : \mathbb{Z}^n \rightarrow (\mathbb{Z}/m\mathbb{Z})^n$  be the canonical homomorphism. If  $C \subset (\mathbb{Z}/m\mathbb{Z})^n$  is a self-dual code, then the lattice

$$A_m(C) := \frac{1}{\sqrt{m}}\varphi^{-1}(C) \subset \mathbb{R}^n$$

is a unimodular lattice.

The lattice  $A_m(C)$  has an  $m$ -frame, i.e.,

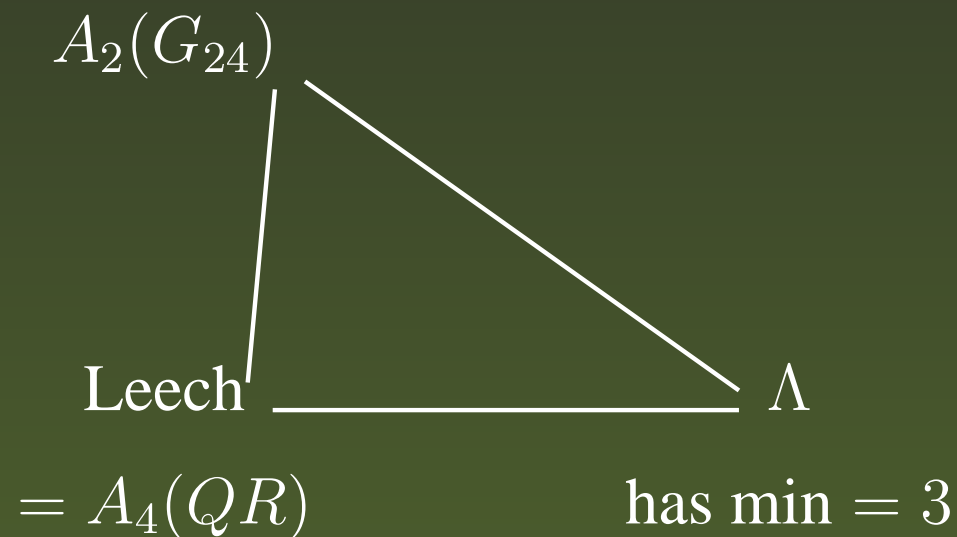
$\exists f_1, \dots, f_n \in A_m(C)$  s.t.  $\langle f_i, f_j \rangle = \delta_{ij}m$ .

The lattice  $A_2(G_{24})$  has a 2-frame (hence is not the Leech lattice).

# Neighbor relations

Two unimodular lattices  $\Gamma, \Gamma'$  are said to be neighbors if  $\Gamma \cap \Gamma'$  has index 2 in  $\Gamma$  (and also in  $\Gamma'$ ).

Dimension 24:



# Neighbor relations

Dimension 48: (Harada–Kitazume–M.–Venkov)

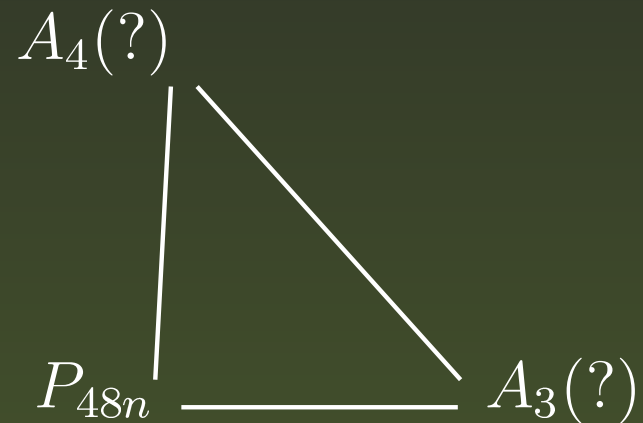
$$\begin{array}{c} A_4(\text{QR}) \\ \diagdown \quad \diagup \\ P_{48q} \text{ — } A_3(\text{QR}) \\ = A_6(C_q) \end{array}$$

$$\begin{array}{c} A_4(C)(\text{new}) \\ \diagdown \quad \diagup \\ P_{48p} \text{ — } A_3(\text{Pless}) \\ = A_6(C_p) \end{array}$$

# Neighbor relations

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Dimension 48:



Does Nebe's lattice  $P_{48n}$  have a 6-frame?



# Designs

So far we studied codes, lattices and 3-distance sets as extremal configurations. Closely related concept of a configuration is the concept of designs, defined by optimization requirement.

Assmus–Mattson Theorem: d.e.s.d code  $\rightarrow$  (combinatorial) design. Witt system  $W_{24}$  arises in this way by taking the set of supports of vectors of minimum weight in  $G_{24}$ .

Venkov's Theorem: lattice  $\rightarrow$  spherical design. The layer  $\Lambda_4$  in the Leech lattice is a spherical 11-design.

Delsarte–Goethals–Seidel Theorem: extremal  $s$ -distance set in  $S^{n-1} \rightarrow$  spherical design

# Definition of a design

A spherical  $t$ -design  $X$  is a finite subset of  $S^{n-1} \subset \mathbb{R}^n$  s.t.

$$\frac{1}{\int_{S^{n-1}} 1} \int_{S^{n-1}} f(x) = \frac{1}{|X|} \sum_{x \in X} f(x)$$

holds for any polynomial  $f(x)$  of degree  $\leq t$ .

A (combinatorial)  $t$ -design  $\mathcal{B}$  is a subset of  $\binom{\Omega}{k}$  s.t.

$$\frac{1}{\binom{v}{k}} \sum_{B \in \binom{\Omega}{k}} f(B) = \frac{1}{|\mathcal{B}|} \sum_{B \in \mathcal{B}} f(B)$$

holds for any polynomial  $f$  of degree  $\leq t$ .

# Combinatorial designs

A (combinatorial)  $t$ -design  $\mathcal{B}$ , or  $t$ -( $v, k, \lambda$ ) design is a subset of  $\binom{\Omega}{k}$  such that

$$\frac{1}{\binom{v}{k}} \sum_{B \in \binom{\Omega}{k}} f(B) = \frac{1}{|\mathcal{B}|} \sum_{B \in \mathcal{B}} f(B)$$

holds for any polynomial  $f$  of degree  $\leq t$ , where  $\Omega$  is a set of  $v$  elements,  $\binom{\Omega}{k}$  is the set of all  $k$ -element subsets of  $\Omega$ .

Polynomial functions are polynomial in the functions  $x_i$  ( $i \in \Omega$ ), with  $x_i(B) = 1$  or  $0$  according as  $i \in B$  or not.

# Combinatorial designs

$$\frac{1}{\binom{v}{k}} \sum_{B \in \binom{\Omega}{k}} f(B) = \frac{1}{|\mathcal{B}|} \sum_{B \in \mathcal{B}} f(B)$$

Polynomial functions are polynomial in the functions  $x_i$  ( $i \in \Omega$ ), with  $x_i(B) = 1$  or  $0$  according as  $i \in B$  or not. Taking  $f = x_{i_1} x_{i_2} \cdots x_{i_t}$  with  $i_1, \dots, i_t$  distinct, we see that the number of  $B \in \mathcal{B}$  containing  $\{i_1, \dots, i_t\}$  is independent of the choice of  $i_1, \dots, i_t$ . This number is denoted by  $\lambda$ .

# Designs in dimension 48

From an extremal doubly-even self-dual code of length 48, one obtains 5-(48, 12, 8) design. Is such a design unique?

If  $\mathcal{B}$  is a  $t$ -( $v, k, \lambda$ ) design, then

$$\mathcal{B} \ni B \leftrightarrow \text{vector of weight } k \text{ in } \mathbb{F}_2^v$$

and these vector generate a linear code  $C \subset \mathbb{F}_2^v$ .

Assuming  $\mathcal{B}$  is self-orthogonal, i.e.,

$$B, B' \in \mathcal{B} \implies |B \cap B'| : \text{even},$$

we aim to show that  $C$  is a (unique) extremal doubly-even self-dual code of length 48.

# Characterization Method

Suppose  $u \in C^\perp$ ,  $A = \text{supp}(u) = \{i_1, \dots, i_m\}$ . In the defining equation of a design, take  $f$  to be elementary symmetric functions of degree at most  $t$  in  $\{x_{i_1}, \dots, x_{i_m}\}$ .

Then  $f(B) = \binom{|A \cap B|}{s}$ , and

$$\frac{1}{\binom{v}{k}} \sum_{B \in \binom{\Omega}{k}} f(B) = \frac{1}{|\mathcal{B}|} \sum_{B \in \mathcal{B}} f(B) = \frac{1}{|\mathcal{B}|} \sum_{j=0}^{\infty} \binom{j}{s} n_j$$

where  $n_j = |\{B \mid B \in \mathcal{B}, |A \cap B| = j\}|$ .

# Designs in dimension 48

For a self-orthogonal 5-(48, 12, 8) design, if  $|A| = 8$ , then  $n_j = 0$  unless  $j \in \{0, 2, 4, 6, 8\}$ . There are 5 unknowns, 6 ( $s = 0, 1, 2, 3, 4, 5$ ) equations, no solutions. Therefore  $C^\perp$  does not contain a vector of weight 8.

Similar argument implies

$$\min \text{wt } C \geq \min \text{wt } C^\perp > 8$$

**Theorem 1 (Harada–M.–Tonchev)** *A self-orthogonal 5-(48, 12, 8) design is unique.*

# Nonexistence of an extremal 3-distance set

If  $X$  is a 3-distance set of size  $47 \cdot 48$  in  $S^{47}$ , then  $X$  is a spherical 5-design.

$\sqrt{7}X$  generates an integral lattice  $\Gamma$ . Let  $\alpha \in \Gamma^* = \{\delta \in \mathbb{R}^n \mid \langle \gamma, \delta \rangle \in \mathbb{Z} \ (\forall \gamma \in \Gamma)\}$ . With  $f(x) = \langle x, \alpha \rangle^s$ ,  $0 \leq s \leq t = 5$ ,

$$\frac{1}{\int_{S^{n-1}} 1} \int_{S^{n-1}} f(x) = \frac{1}{|X|} \sum_{x \in X} f(x)$$

gives linear equations with unknowns

$$n_j = |\{x \in \sqrt{7}X \mid \langle x, \alpha \rangle = \pm j\}|.$$

**Theorem 2 (Bannai–M.–Venkov)** *There is no antipodal 3-distance set of size  $47 \cdot 48$  in  $S^{47}$ .*