# Self-orthogonal designs

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• X is a finite set, 
$$|X| = v$$
,  
•  $\mathcal{B} \subset {X \choose k} = \{k \text{-element subsets of } X\}$ ,  
•  $\forall T \in {X \choose t}$ ,  
 $\lambda = |\{B \in \mathcal{B} \mid B \supset T\}|.$ 

Elements of X are called "points", elements of  ${\cal B}$  are called "blocks".

CRC Handbook of Combinatorial Designs, pp.79-80

Rephrased in terms of the 5-(12, 6, 1) design, this means:

There are 12 notes, distributed into 6-note arpeggios, in such a way that every combination of 5 particular notes comes together exactly once.

The pianist does not play all the  $\binom{12}{6} = 924$  combinations; only 132 arpeggios.

A design  $(X, \mathcal{B})$  is self-orthogonal if

$$|B \cap B'| \equiv 0 \pmod{2} \quad (\forall B, B' \in \mathcal{B}).$$

In particular  $k \equiv 0 \pmod{2}$ .

Let  $\boldsymbol{M}$  be the block-point incidence matrix. Then

self-orthogonal 
$$\iff MM^{\top} = 0$$
 over  $\mathbb{F}_2$ .

We call the row space C of M the (binary) code of the design. Then  $C \subset C^{\perp}$ . The row space of the matrix  $\begin{bmatrix} I_4 & J_4 - I_4 \end{bmatrix}$  over  $\mathbb{F}_2 = \{0, 1\}$  contains 14 vectors of weight 4, forming a self-orthogonal 3-(8, 4, 1) design.

More generally, if H is a Hadamard matrix of order 8n, i.e., H is a  $8n\times8n$  matrix with entries in  $\{\pm1\}$  satisfying  $HH^{\top}=8nI$ ,

 $\implies$  a self-orthogonal 3-(8n, 4n, 2n-1) design.

Given  $t, v, k, \lambda$ , does there exist a t- $(v, k, \lambda)$  design?

Before Teirlinck (1987), only a few *t*-designs with  $t \ge 5$  were known.

The 5-(24, 8, 1) design by Witt (1938) is self-orthogonal. Assmus-Mattson theorem (1969) gives a reason: extremal binary self-dual code  $\rightarrow$  5-designs.

In our work we only consider orthogonality mod 2. The 5-(12, 6, 1) design of Witt (1938) is not self-orthogonal.

 $[24m, 12m, 4m+4] \operatorname{code} \rightarrow 5\text{-}(24m, 4m+4, \lambda) \operatorname{design}.$ 

- m = 1: Witt design; related designs were characterized by Tonchev (1986)
- m = 2: Harada-M.-Tonchev (2005)
- For  $m \geq 3$ , existence is unknown:
  - m = 3 by Harada-M.-Kitazume (2004), m = 4 by Harada (2005),  $m \ge 5$  by de la Cruz and Willems (2012).

For a systematic study:

- Lalaude-Labayle (2001)
- A.M., RIMS talk (2005)

# Design theoretic viewpoint

Instead of considering the problem: "given a self-dual code C of length v and k, what is the maximum t such that

$$\mathcal{B} = \{ \operatorname{supp}(x) \mid x \in C, \ \operatorname{wt}(x) = k \}$$

is a *t*-design?",

let  ${\boldsymbol{C}}$  be the code of a self-orthogonal design. Then

$$\mathcal{B} \subset \{x \in C \mid \operatorname{wt}(x) = k\} \subset C \subset C^{\perp}.$$

In the previously considered situation

$$\mathcal{B} = \{ x \in C = C^{\perp} \mid \operatorname{wt}(x) = k \}.$$

"saturated".

Suppose  $(X, \mathcal{B})$  is self-orthogonal, i.e.,  $C \subset C^{\perp}$ . Unsaturated case:

$$C \subsetneq C^{\perp} C^{\perp}$$

$$\mathcal{B} \subsetneq \{x \in C \mid \operatorname{wt}(x) = k\}$$

**3** 
$$k > \min\{ \operatorname{wt}(x) \mid x \in C, x \neq 0 \}$$

Let 
$$(X, \mathcal{B})$$
 be a  $t$ - $(v, k, \lambda)$  design,  $S \subset X$ .

$$n_j = |\{B \in \mathcal{B} \mid j = |B \cap S|\}|.$$

Then

$$\sum_{j\geq 1} \binom{j}{i} n_j = \lambda_i \binom{|S|}{i} \quad (i = 1, \dots, t),$$

a system of t linear equations in unknowns  $n_1, n_2, \ldots$  (at most  $\min\{k, |S|\}$ ). If  $S \in C^{\perp}$ , then  $n_j = 0$  for j odd. If  $k = \min C^{\perp}$ , then  $n_j = 0$  for j > k/2. The dual code  $C^{\perp}$  of the code C of a *t*-design has minimum weight at least t + 1.

#### Lemma

If  $(X, \mathcal{B})$  is a self-orthogonal 3- $(v, k, \lambda)$  design, and the dual code of its code has minimum weight 4, then v = 2k.

### Proof.

There are t = 3 Mendelsohn equations for 2 unknowns  $n_2, n_4$ .

Recall 3-(8, 4, 1) design exists.

Witt: 5-(12, 6, 1) design which is 3-(12, 6, 12) design (not self-orthogonal).

- Divisibility implies  $\lambda \equiv 0 \pmod{2}$ .
- $|\mathcal{B}| = 11\lambda.$
- C is contained in the unique self-dual [12, 6, 4] code which has 32 vectors of weight 6, so λ ≤ 2, hence λ = 2.
- 3-(12, 6, 2) design is an extension of a symmetric 2-(11, 5, 2) design, so it cannot be self-orthogonal.
- Alternatively, Mendelsohn equation w.r.t. a block leads to a contradiction for all  $\lambda.$

- Divisibility implies  $\lambda \equiv 0 \pmod{3}$ .
- Largest number of vectors of weight 8 in a self-orthogonal codes of length 16 ⇒ λ ≤ 18.
- $\lambda=3:$  Hadamard designs.

 $\lambda = 6, 9, 12, 15, 18?$ 

#### Theorem

Let  $\lambda = 3\mu$ . The following are equivalent:

- $\textcircled{0} \ \exists \text{ a self-orthogonal } 3\text{-}(16,8,\lambda) \text{ design,}$
- ② ∃ an equitable partition of the folded halved 8-cube with quotient matrix

$$\begin{bmatrix} 4(\mu - 1) & 4(8 - \mu) \\ 4\mu & 4(7 - \mu) \end{bmatrix}.$$

**3**  $\mu \in \{1, 2, 3, 4, 5\}.$ 

In particular, there is no self-orthogonal 3-(16, 8, 18) design.

The 8-cube is the graph with vertex set  $\{0, 1\}^8$ , two vertices are adjacent whenever they differ by exactly one coordinate.

'halved' = even-weight vectors 'folded' = identify with complement

The folded halved 8-cube  $\Gamma$  has  $2^6$  vertices, and it is 28-regular.

Equitable partition:  $A = adjacency matrix of \Gamma$ ,

$$A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}, \quad A_{ij}\mathbf{1} = q_{ij}\mathbf{1}, \quad Q = (q_{ij}).$$

### Theorem

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### Theorem

There is no self-orthogonal 3- $(20, 10, \lambda)$  design.

# Proof.

Compare the solution of the Mendelsohn equations with the weight distribution of the self-dual codes of length 20 whose classification is already known.

Assmus-Mattson theorem implies that there is a 5-(24,12,48) design which is 3-(24,12,280) design.

Does there exist other self-orthogonal  $3-(24, 12, \lambda)$  designs?