# Codes Generated by Designs, and Designs Supported by Codes Part I

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#### t-( $v, k, \lambda$ ) designs

#### Definition

A t-(v, k,  $\lambda$ ) design is a pair ( $\mathcal{P}$ ,  $\mathcal{B}$ ), where

- ullet  $\mathcal{P}$ : a finite set of "points",
- $\mathcal{B}$ : a collection of k-subsets of  $\mathcal{P}$ , a member of which is called a "block,"
- $\forall T \subset \mathcal{P}$  with |T| = t, there are exactly  $\lambda$  members  $B \in \mathcal{B}$  such that  $T \subset B$ .

#### Examples:

- 2-(v,3,1) design = Steiner triple system
- 2- $(q^2, q, 1)$  design = affine plane of order q

$$t$$
-design  $\implies (t-1)$ -design

More precisely, . . .

#### Intersection numbers

$$(\mathcal{P},\mathcal{B})$$
:  $t$ - $(v,k,\lambda)$  design. Write  $\lambda=\lambda_t$ ,

$$\lambda_{t-1} = |\{B \in \mathcal{B} \mid T' \subset B\}|,$$

where  $T' \subset \mathcal{P}$ , |T'| = t - 1. Then

$$\lambda_{t-1}(k-t+1) = \sum_{\substack{B \in \mathcal{B} \\ T' \subset B}} |B \setminus T'|$$

$$= |\{(B,x) \in \mathcal{B} \mid T' \cup \{x\} \subset B, \ x \in \mathcal{P} \setminus T'\}|$$

$$= \sum_{x \in \mathcal{P} \setminus T'} |\{B \in \mathcal{B} \mid T' \cup \{x\} \subset B\}|$$

$$= \sum_{x \in \mathcal{P} \setminus T'} \lambda_t$$

$$= \lambda_t (v - t + 1).$$

## $(\mathcal{P},\mathcal{B})$ : t- $(v,k,\lambda)$ design

Then  $(\mathcal{P}, \mathcal{B})$ : (t-1)- $(v, k, \lambda_{t-1})$  design, where

$$\lambda_{t-1} = \lambda_t \frac{v - t + 1}{k - t + 1}.$$

For example,

$$5-(24, 8, 1) \implies 4-(24, 8, 5)$$
  
 $\implies 3-(24, 8, 21)$   
 $\implies 2-(24, 8, 77)$   
 $\implies 1-(24, 8, 253)$   
 $\implies 0-(24, 8, 759)$   
 $\iff |\mathcal{B}| = 759.$ 

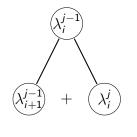
## $(\mathcal{P},\mathcal{B})$ : t- $(v,k,\lambda)$ design

Let  $I \subset \mathcal{P}$ ,  $J \subset \mathcal{P}$ , |I| = i, |J| = j,  $I \cap J = \emptyset$ ,  $i + j \le t$ . Define

 $\lambda_i^j = |\{B \in \mathcal{B} \mid I \subset B, B \cap J = \emptyset\}|.$ 

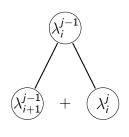
In particular,

$$\lambda_i^0 = \lambda_i \quad (0 \le i \le t).$$
$$\lambda_i^{j-1} = \lambda_{i+1}^{j-1} + \lambda_i^j.$$



$$\begin{array}{c} \lambda_0^0 \\ \lambda_1^0 \ \lambda_0^1 \\ \lambda_2^0 \ \lambda_1^1 \ \lambda_0^2 \\ \lambda_3^0 \ \lambda_2^1 \ \lambda_1^2 \ \lambda_0^3 \\ \lambda_4^0 \ \lambda_3^1 \ \lambda_2^2 \ \lambda_1^3 \ \lambda_4^4 \\ \lambda_5^0 \ \lambda_4^1 \ \lambda_3^2 \ \lambda_3^2 \ \lambda_1^4 \ \lambda_5^0 \end{array}$$

## 5-(24, 8, 1) design, $\lambda_i^{j-1} = \lambda_{i+1}^{j-1} + \lambda_i^j$



Next row?  $\lambda_6^0, \lambda_5^1, \lambda_4^2, \dots$ 

$$\lambda_6^0(I) = |\{B \in \mathcal{B} \mid I \subset B\}| = 1 \text{ or } 0$$

depending on the choice of  $I \subset \mathcal{P}$  with |I| = 6. Choose I in such a way that  $\lambda_6^0(I) = 1$ .

#### 5-(24, 8, 1) design, $I \subset \mathcal{P}$ , |I| = 6, $I \subset \exists B \in \mathcal{B}$

$$\lambda_{6-j}^j = |\{B \in \mathcal{B} \mid I \setminus J \subset B, \ B \cap J = \emptyset\}| \quad \text{where } J \subset I, \ J = j.$$
$$\lambda_{5-j}^j = \lambda_{6-j}^j + \lambda_{5-j}^{j+1}$$

giving

Similarly, taking  $I \subset \mathcal{P}$ , |I| = 7 appropriately, we obtain  $\lambda_{7-j}^{j}$ . Finally taking  $I \in \mathcal{B}$ , we obtain  $\lambda_{8-j}^{j}$ .

#### 5-(24, 8, 1) design

The last row implies

$$B, B' \in \mathcal{P}, B \neq B' \implies |B \cap B'| \in \{4, 2, 0\}.$$

## The 5-(24, 8, 1) design, $|B \cap B'| \in \{4, 2, 0\}$

 $\mathcal{P} = \{1, 2, \dots, 24\}$ . We may take  $\mathcal{B}$  as:

```
1 2 3 4 5 6 7 8
1 2 3 4
              9 10 11 12
1 2 3 5
                        13 14 15
1 2 4 5
                                16 17 18
1 3 4 5
                                        19 20 21
 2 3 4 5
                                                22 23 24
1 2 3
                                16
                                        19
                                                22
1 2 4 6
              9
                        13
                                           20
                                                   23
1 3 4 6
                           14
                                17
                                                      24
1 2 5 6
              9 10
                                              21
                                                      24
   3 5 6
              9
                                      18
                                                   23
                   11
```

Do we have to find 759 blocks one by one? No, 12 blocks are sufficient (so one more needed).

#### Todd's lemma

Let  $(\mathcal{P}, \mathcal{B})$  be a 5-(24, 8, 1) design. Then

$$B, B' \in \mathcal{B}, |B \cap B'| = 4 \implies B \triangle B' \in \mathcal{B}.$$

Proof by contradiction:

Here "\*\*\*\*" must be odd and even simultaneously.

#### Consequence of Todd's lemma

```
2 3 4 5 6 7 8
1 2 3 4
                9 10 11 12
1 2 3
                           13 14 15
1 2 4 5
                                     16 17 18
   3 4 5
                                              19 20 21
 2 3 4 5
                                                       22 23 24
1 2 3
                                                       22
                                     16
                                              19
1 2 4 6
                           13
                                                          23
                                                 20
 3 4 6
                               14
                                        17
                                                             24
1 2
    5 6
                9 10
                                                    21
                                                             24
   3 5 6
                9
                                           18
                     11
                                                          23
```

By Todd's lemma

$$((B_1\triangle B_4)\triangle B_7)\triangle(B_5\triangle B_6)=\{7,8,17,18,20,21,23,24\}\in\mathcal{B}.$$

#### Binary codes

A (linear) binary code of length v is a subspace of the vector space  $\mathbb{F}_2^v$ . If C is a binary code and dim C = k, we say C is an binary [v, k] code.

The dual code of a binary code C is defined as

$$C^{\perp} = \{ x \in \mathbb{F}_2^{\mathsf{v}} \mid x \cdot y = 0 \ (\forall y \in C) \}.$$

where

$$x \cdot y = \sum_{i=1}^{v} x_i y_i.$$

Then

$$\dim C^{\perp} = v - \dim C.$$

The code C is said to be self-orthogonal if  $C \subset C^{\perp}$  and self-dual if  $C = C^{\perp}$ .

#### Generator matrix of a code

If a binary code C is generated by row vectors  $x^{(1)}, \ldots, x^{(b)}$ , then the matrix

$$\begin{bmatrix} x^{(1)} \\ \vdots \\ x^{(b)} \end{bmatrix}$$

is called a generator matrix of C. This means

$$C = \{ \sum_{i=1}^{b} \epsilon_i x^{(i)} \mid \epsilon_1, \dots, \epsilon_b \in \mathbb{F}_2 \} \subset \mathbb{F}_2^{\nu}.$$

#### Incidence matrix of a design

If  $\mathcal{D}=(\mathcal{P},\mathcal{B})$  is a t- $(v,k,\lambda)$  design, the incidence matrix  $M(\mathcal{D})$  of  $\mathcal{D}$  is  $|\mathcal{B}|\times |\mathcal{P}|$  matrix whose rows and columns are indexed by  $\mathcal{B}$  and  $\mathcal{P}$ , respectively, such that its (B,p) entry is 1 if  $p\in B$ , 0 otherwise. In other words, the row vectors of  $M(\mathcal{D})$  are the characteristic vectors of blocks:

$$M(\mathcal{D}) = \begin{bmatrix} x^{(B_1)} \\ \vdots \\ x^{(B_b)} \end{bmatrix}$$
 :  $b \times v$  matrix,

where  $\mathcal{B} = \{B_1, \dots, B_b\}$ , and  $x^{(B)} \in \mathbb{F}_2^v$  denotes the characteristic vector of B.

The binary code of the design  $\mathcal{D}$  is the binary code of length v having  $M(\mathcal{D})$  as a generator matrix.

## dim $C \le 12$ for 5-(24, 8, 1) design

Recall that in a 5-(24, 8, 1) design  $(\mathcal{P}, \mathcal{B})$ ,

$$|B \cap B'| \in \{8,4,2,0\} \quad (\forall B, B' \in \mathcal{B}).$$

The binary code C of a 5-(24,8,1) design is self-orthogonal. Indeed, the incidence matrix has row vectors  $x^{(B)}$  ( $B \in \mathcal{B}$ ), the characteristic vector of the block B. Then

$$x^{(B)} \cdot x^{(B')} = |B \cap B'| \mod 2 = (8 \text{ or 4 or 2 or 0}) \mod 2 = 0.$$

Thus  $C \subset C^{\perp}$ , hence

$$\dim C \leq \frac{1}{2}(\dim C + \dim C^{\perp}) \leq \frac{24}{2} = 12.$$

#### One more block for 5-(24, 8, 1) design

```
1 2 3 4 5 6 7 8
1 2 3 4
              9 10 11 12
1 2 3 5
                        13 14 15
1 2 4 5
                                 16 17 18
1 3 4 5
                                         19 20 21
 2 3 4 5
                                                 22 23 24
1 2 3
                                 16
                                         19
1 2 4 6
                        13
                                            20
                                                    23
1 3 4 6
                           14
                                   17
                                                       24
1 2 5 6
              9 10
                                              21
                                                       24
1 3 5 6
              9
                                      18
                   11
                                                    23
```

The above 11 blocks generate a 11-dimensional code  $C_0$ . Note the transposition (7 8) leaves  $C_0$  invariant. We know from Todd's lemma  $B_0 = \{7, 8, 17, 18, 20, 21, 23, 24\} \in \mathcal{B}$  (but  $x^{(B_0)} \in C_0$ ).

Consider the block containing  $\{1, 2, 3, 8, 9\}$ . There are two choices:  $B = \{1, 2, 3, 8, 9, 17, 21, 23\}$  and  $B' = \{1, 2, 3, 8, 9, 18, 20, 24\}$ .

#### One more block for 5-(24, 8, 1) design

We know

$$B_0 = \{7, 8, 17, 18, 20, 21, 23, 24\} \in \mathcal{B}, \quad x^{(B_0)} \in C_0 = C_0^{(7 8)}.$$

We have either

$$B = \{1, 2, 3, 8, 9, 17, 21, 23\} \in \mathcal{B} \text{ or } B' = \{1, 2, 3, 8, 9, 18, 20, 24\} \in \mathcal{B}.$$

But 
$$B'^{(7\ 8)} = B\triangle B_0$$
, so

$$\langle C_0, x^{(B')} \rangle^{(7 \ 8)} = \langle C_0, x^{(B)} + x^{(B_0)} \rangle = \langle C_0, x^{(B)} \rangle.$$

Therefore, the code generated by the design is unique up to isomorphism. This self-dual  $(C = C^{\perp})$  code is known as the extended binary Golay code. Next we show that the code determines the design uniquely.

#### Weight

For  $x \in \mathbb{F}_2^{\nu}$ , we write

$$supp(x) = \{i \mid 1 \le i \le v, \ x_i \ne 0\},\ wt(x) = |supp(x)|.$$

For a binary code C, its minimum weight is

$$\min\{\operatorname{wt}(x)\mid 0\neq x\in C\}.$$

If an [v, k] code C has minimum weight d, we call C an [v, k, d] code.

## Mendelsohn equations for t- $(v, k, \lambda)$ design $(\mathcal{P}, \mathcal{B})$

For  $S \subset \mathcal{P}$ , let

$$n_i(S) = |\{B \in \mathcal{B} \mid i = |B \cap S|\}|.$$

Then

$$\sum_{j>0} \binom{i}{j} n_j(S) = \lambda_j \binom{|S|}{j} \quad (0 \le j \le t).$$

Proof: Count

$$\{(J,B) \mid J \subset S \cap B, \ |J| = j\}$$

in two ways.

## $n_i(S) = |\{B \in \mathcal{B} \mid i = |B \cap S|\}|$

Let C be the binary code of the design  $(\mathcal{P}, \mathcal{B})$ . Write  $n_i(\text{supp}(v)) = n_i(v)$  for  $v \in \mathbb{F}_2^v$ .

$$\sum_{j>0} \binom{i}{j} n_i(v) = \lambda_j \binom{\operatorname{wt}(v)}{j} \quad (0 \le j \le t).$$

If  $v \in C^{\perp}$ , then  $|B \cap \text{supp}(v)|$  is even, so

$$n_i(v) = |\{B \in \mathcal{B} \mid i = |B \cap \operatorname{supp}(v)|\}| = 0$$
 for  $i$  odd.

Thus

$$\sum_{\substack{0 \leq i \leq \operatorname{wt}(v) \\ j \text{ is a real }}} \binom{i}{j} n_i(v) = \lambda_j \binom{\operatorname{wt}(v)}{j} \quad (0 \leq j \leq t).$$

## (P, B): 5-(24, 8, 1) design

$$\sum_{\substack{0 \leq i \leq \operatorname{wt}(v) \\ j \text{ even}}} \binom{i}{j} n_i(v) = \lambda_j \binom{\operatorname{wt}(v)}{j} \quad (0 \leq j \leq 5).$$

Taking  $v \in C^{\perp}$  with 0 < wt(v) < 8 gives no solution. This means that  $C^{\perp}$  has minimum weight 8.

Take  $v \in C = C^{\perp}$  with wt(v) = 8. Then there are six equations for five unknowns  $n_0, n_2, n_4, n_6, n_8$ . The unique solution is

$$(n_0, n_2, n_4, n_6, n_8) = (30, 448, 280, 0, 1).$$

This implies  $supp(v) \in \mathcal{B}$ . Thus

$$\mathcal{B} = \{ \operatorname{supp}(x) \mid x \in C, \ \operatorname{wt}(x) = 8 \}.$$

Now the uniqueness of the design follows from that of C.

## C: the binary code of a 5-(24, 8, 1) design

For  $v \in C^{\perp}$ ,

$$\sum_{\substack{0 \leq i \leq \mathsf{wt}(v) \\ i: \text{ even}}} \binom{i}{j} n_i(v) = \lambda_j \binom{\mathsf{wt}(v)}{j} \quad (0 \leq j \leq 5).$$

Taking wt(v) = 10 gives a unique solution which is not integral. This means that  $C^{\perp}$  has no vectors of weight 10.

weight	0	8	12	16	24
# vectors	1	759	2576	759	1

- C is generated by vectors of weight 8  $\implies$   $C^{\perp}$  contains the all-one vector  $\implies$  the weight distribution of  $C^{\perp}$  is symmetric.
- $C^{\perp}$  contains only vectors of weight divisible by 4 (such a code is called doubly even)  $\implies C^{\perp} \subset (C^{\perp})^{\perp} = C$ , forcing  $C = C^{\perp}$ .

#### Summary

- $\mathcal{D}$ : 5-(24, 8, 1) design (Witt system).
  - The binary code C of  $\mathcal{D}$  is a doubly even self-dual [24, 12, 8] code.
  - The binary code C of  $\mathcal D$  is unique up to isomorphism.
  - $\{ supp(x) \mid x \in C, wt(x) = 8 \} = \mathcal{B}.$
  - There is a unique 5-(24, 8, 1) design up to isomorphism.

The Assmus–Mattson theorem implies that every binary doubly even self-dual [24,12,8] code coincides with the binary code of a 5-(24,8,1) design, and hence such a code (the extended binary Golay code) is also unique.

The next two lectures will cover

- proof of the Assmus–Mattson Theorem
- characterization of the (binary) Hadamard matrix contained in the set of vectors of weight 12 in the extended binary Golay [24, 12, 8] code.